



TO : **Regulated Entities:**

- i. **Alternative Investment Fund Managers ('AIFMs')**
- ii. **UCITS Management Companies ('UCITS MC')**
- iii. **Self-Managed UCITS ('SM UCITS')**
- iv. **Self-Managed Alternative Investment Funds ('SM AIFs')**
- v. **Self-Managed Alternative Investment Funds with Limited Number of Persons ('SM AIFLNP')**
- vi. **Companies with sole purpose the management of AIFLNPs**

FROM : **Cyprus Securities and Exchange Commission**

DATE : **December 21, 2018**

CIRCULAR No : **C289**

FILE No : **01.13.001.002.002**

SUBJECT : **Management Companies and Self-Managed Funds Quarterly Statistics - Revised Form**

Further to Circulars C264 and C281, issued on April 20, 2018 and September 2, 2018 respectively regarding Form QST-MC for the Quarterly Statistics of the Management Companies and Self-Managed Funds, the Cyprus Securities and Exchange Commission ('the CySEC') wishes to inform the Regulated Entities about the following changes, concerning Form QST-MC, that will be applied from the reference period **01/10/2018-31/12/2018 and onwards:**

1. Additional information Requested

Form QST-MC ('the Form'), **Version 3**, has been updated in order to include the following additional information:

1.1. Section C - Details of each Undertaking of Collective Investments under Management:

Three additional columns were added in this section which are:

- a) **Column R** – Number of Retail Unit-holder, per UCI.
- b) **Column T** – Number of Well Informed Unit-holders per UCI.
- c) **Column V**- Number of Professional Unit-holders, per UCI.

1.2. Section E – Question 2: Breakdown of Net Asset Value as at the reference date based on the type of investors.

Question 2, requests to split the Net Asset Value in the three type of investors (Retail, Well Informed and Professional) and based on the type of UCI.

It is noted that this question replaces Question 2 of Version 2 of the Form, which requested the breakdown of AUM, as at the reference date based on the type of Investors and the type of UCI.

1.3. Section F1, F2, F3: NAV by Geographical Analysis of Unit-holders' Origin.

Please note that Section F1, F2 and F3, replaces section F of Version 2 of the Form, which related to the Geographical Analysis of Unit-holders' origin. Specifically:

Section F1, must be completed in relation to **UCITs** under management and requests information about the NAV by Geographical Analysis of Unit-holders' Origin.

Section F2, must be completed in relation to **AIFs** under management and requests information about the NAV by Geographical Analysis of Unit-holders' Origin.

Section F3, must be completed in relation to **AIFLNPs** under management and requests information about the NAV by Geographical Analysis of Unit-holders' Origin.

2. Submission date of the Form

The table below summarise the datelines for the submission of the QST-MC form, which are the same as described in Circular 281. Based on the following table the dateline for the submission of the QST-MC form for the Q4 **is 15 January 2018**.

Reference Period	Submission Date
01/01/20XX - 31/03/20XX	15 April 20XX
01/04/20XX-30/06/20XX	15 July 20XX
01/07/20XX - 30/09/20XX	15 October 20XX
01/10/20XX - 31/12/20XX	15 January 20XX (of the following year)

3. Support

3.1. Queries on how to complete the fields of the Form

In case you have any queries on the completion of the Form, please submit them **only** in writing by sending an email to riskstatistics.fundmgrs@cysec.gov.cy. All email communication should include in the subject, the Regulated Entity full name and the TRS coding.

3.2. Technical Queries on digitally signing and submitting the Form

For technical matters on digitally signing and submitting the Form, Regulated Entities are advised to frequently visit the CySEC's website at the specified [section](#). For further clarifications, Regulated Entities are requested to use the electronic address information.technology@cysec.gov.cy.

All email communication with CySEC should include, in the subject, the Regulated Entities full name and the TRS coding.

Yours sincerely,

Demetra Kalogerou
Chairman, Cyprus Securities and Exchange Commission