

Standard XML Reporting Instructions and Specifications

Version 4.0



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MOKAS
UNIT FOR COMBATING MONEY LAUNDERING



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1. Summary

The purpose of this specifications document is to provide both the reporting entities and reporting persons with the requirements and conditions for creating compatible XML files using the provided XML - Schema for the different supported report types.

A report file contains the following information which can be represented in the goAML Client after uploading and verifying the XML file.

- Basic information about the report.
- Where does the money come from.
- Who conducted the transaction.
- Where does the money go to.
- Was the transaction related to a property transfer
- Who reported the transaction(s)
- What was the reason for the report and which actions have been taken
- In multi-party transactions, list of all involved parties and their respective roles in the transactions – NOT APPLICABLE.

An XML report is linked to one Reporting Entity but may contain multiple transactions. An uploaded report can be from ONE report type.

This document will provide a reference to the schema, nodes and types as well as the lookup tables for enumeration values (e.g. Country Codes).

2. Conventions used in this document

The following conventions are used in this document:

	Required field
	Required, 1 to N values
	Optional field
	Optional sub node
	Required sub node
	Optional, but one of the two nodes should be provided
Integer	A 32 bit value
Date time	A date and time value in the following format: YYYY-MM-DDTHH:MM:SS
	Sequence to sub nodes

3. Description of XML Nodes

3.1 Node “report”

Basic information about Reporting Entity, reporting date and type of report. It can contain multiple transactions.

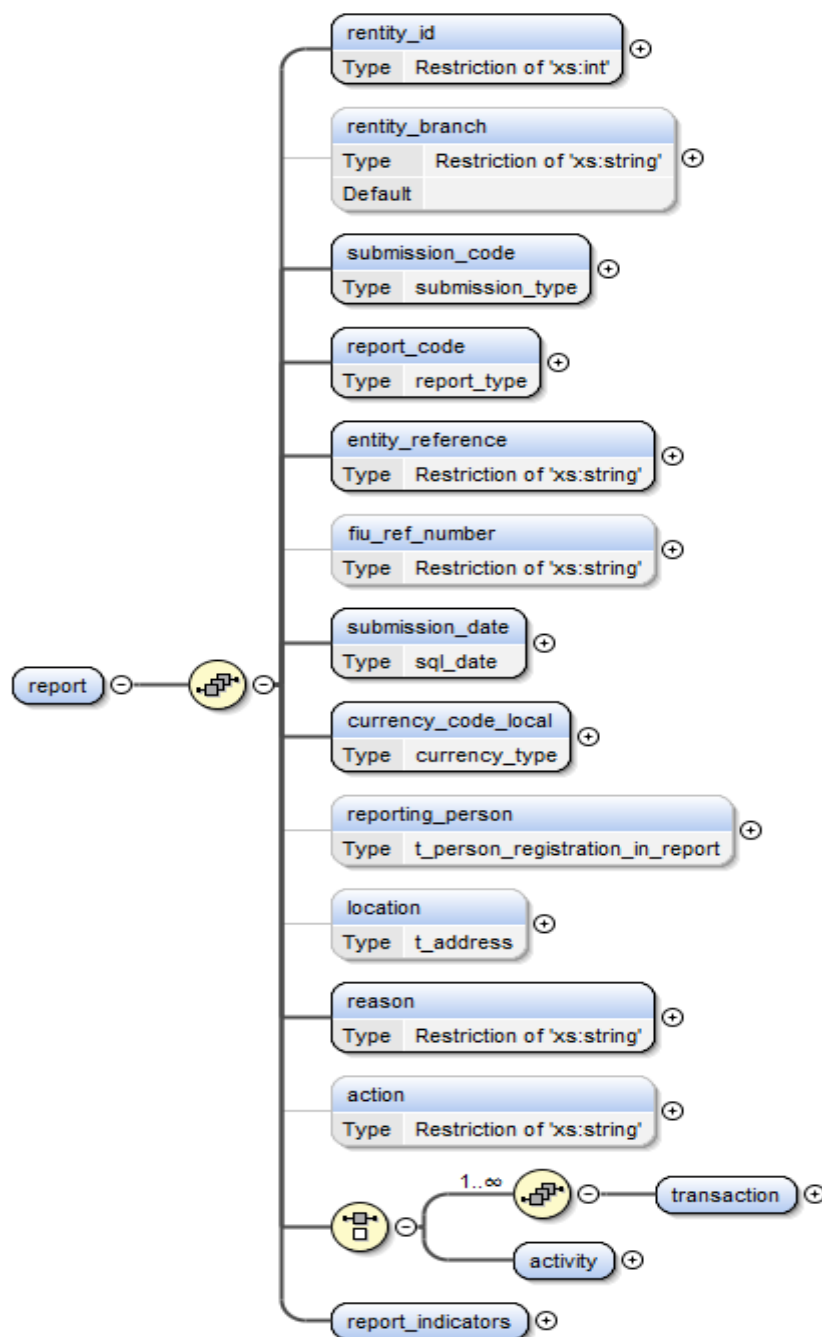


Figure 1: Overview node “report”

Name	Description	Length	Req.	Example
rentity_id	Reporting Entity number defined by FIU	Integer ≥ 1	Y	1237
rentity_branch	Branch of current reporting entity.	255	N	Branch of Cyprus Bank whom reported the transactions

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submission_code	Type of submission	Enumeration	Y	See 5.1 Submission type
report_code	Type of report i.e. <ul style="list-style-type: none"> ○ Suspicious Activity Report (SAR - no transactions included) ○ Suspicious Transaction Report (STR - transactions included) ○ Additional Information File-SAR (can be used for example to send additional information in relation to an SAR already submitted) ○ Additional Information File-STR (can be used for example to send additional information in relation to an STR already submitted) 	Enumeration	Y	See 5.8 Report Code
entity_reference	Unique reference to the report, used by reporting entity	255	Y	STR Rep 392
fiu_ref_number	Unique ref. number to be used as communication channel between the FIU and the Reporting Entity	255	N	STR-0436-2015. FIU requests more info regarding a report, so RE can use that number in their reply or in the case Additional Information File (SAR or STR) is submitted
submission_date	Submission date and time	Datetime	Y	2015-03-25T11:55:00
currency_code_local	Local Currency code	Type "Currency type"	Y	EUR
reporting_person	Full details of the report's reporting person	Type "t_person"	N	
Location	Describes location of the reported report	Type "t_address"	N	
reason	Why the report was reported (especially or STRs)	4000	Y	Suspicion
action	Describes action related to the report	4000	N	For example client wants to withdraw balance
Report could contain either a list of transactions as it was always or a list of involved subjects without the need of a transaction to link them.				
transaction		Transaction information	type transaction	Y See 3.2 Node transaction
Activity		Involved subjects and items list linked directly to report.		Y See 3.3 Node activity
report_indicators	List of indicators for the current reports	Type "indicator" 0..many	Y	See 3.1.1 Subnode report_indicators



Table 1: Details node “report”



3.1.1 Subnode report_indicators

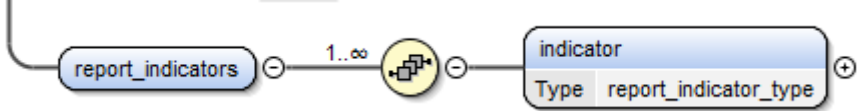


Figure 2: Overview subnode report_indicators

Name	Description	Length	Req.	Example
indicator	Some classification for the report	25	Y	Transactions don't meet client's normal profile, World Check Match. (see 5.17 Report_Indicators)

Table 2: Details subnode report_indicators

3.2 Node transaction

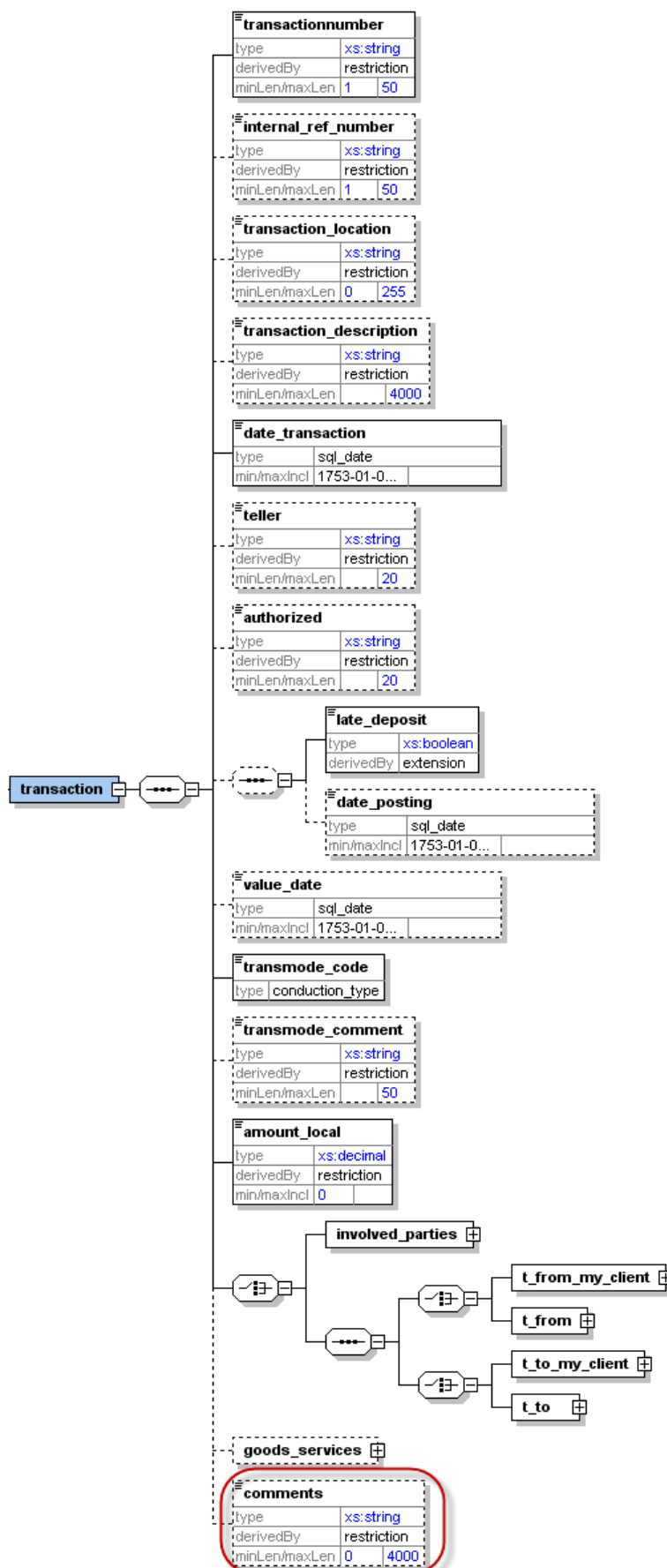


Figure 3: Overview node transaction

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Name	Description	Length	Req.	Example
transactionnumber	Unique transaction number for bank transaction	50	Y	20084711
Internal_ref_number	Reporting Entity internal transaction reference number	50	N	WU_BRNCH01_0001
transaction_location	Branch/Location where the transaction took place	255	N	Branch 001
transaction_description	Free text field to describe the purpose of the transaction	4000	N	
date_transaction	Date and time of the transaction	DateTime	Y	2015-03-25T11:55:00
teller	Who conducted the transaction	50	N	ID88933345
authorized	Who authorized the transaction	50	N	ID00033345

late_deposit and *date_posting* are both optional but when setting *date_posting*, *late_deposit* becomes mandatory.

3 possible combinations

1. none of the nodes is set
2. only *late_deposit* is set
3. *late_deposit* AND *date_posting* are set

late_deposit	Late deposit indicator	Boolean	Y/N	True
date_posting	Date of posting (if different from date of transaction)	DateTime	N	2015-03-24T19:55:00
value_date	Value date	DateTime	N	2015-03-27T00:00:00
transmode_code	How the transaction was conducted	Enumeration	Y	See 5.6 Conduction Type
transmode_comment	Description if transmode_code is "G" (Other)	50	N	-
amount_local	The value of the transaction in local currency i.e. EUR	Decimal	Y	-

Transaction could be either a bi-party transaction with clear From and To sides, or a multi-party transaction with unlimited list of subjects (Persons, Accounts and Entities) where each has a role in the transaction rather than a clear from or to side.

Bi-Party Transaction

One of the nodes *t_from_my_client* or *t_from* should be provided. Both CANNOT be present together in a transaction, but one of them should be present.

t_from_my_client	Specifies where the money came from. If the source is reporting bank's client, then this node should be provided	Subnode	Y (one of them)	See 3.4.1 Node <i>t_from_my_client</i>
t_from	Specifies where the money came from	Subnode		See 3.4.2 Node <i>t_from</i>

One of the nodes *t_to_my_client* or *t_to* should be provided. Both CANNOT be present together in a transaction, but one of them should be present.

t_to_my_client	Specifies where the money	Subnode	Y	See
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	went. If the destination is reporting bank's client, then this node should be provided		(one of them)	3.5.1 Node t_to_my_client
t_to	Specifies where the money went.	Subnode		See 3.5.2 Node t_to
Multi-Party Transaction – NOT APPLICABLE				
It covers transactions with multi-party involvement covering non-banking transactions . Car dealers for example can report such transactions where more than one subject bought/sold a car, while the car dealer is not reported as part of the transaction but only as the reporting entity. If the transaction is reported in this way, then at least one "Party" node should be reported.				
party	Describes the involved party details	Type "t_party"	Y	See 4.4 Type t_party
Goods_services	The goods/services linked to the transaction	subnode	N	See 3.6 Subnode goods_services
Comments	Generic comments field	4000	N	

Table 3: Details node transaction

3.3 Node Activity

Reports can include an activity node to represent an event where a list of subjects and goods are related directly to the report without the need of a transaction.

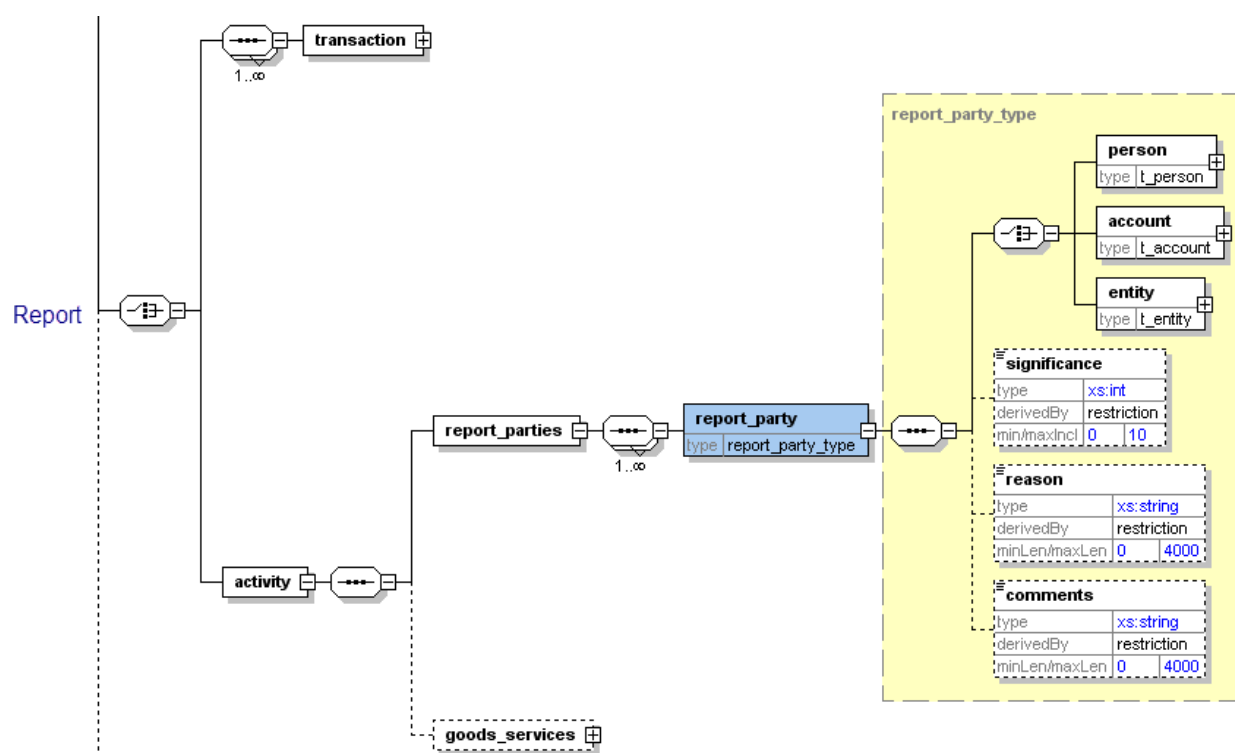


Figure 4: Overview node activity

Name	Description	Length	Req.	Example
report_parties	Represents a collection of involved subjects and involved subject with its details		Y	
report_party	Represents a single involved subject with its details. At least one party should be included.	Type report_party_type	Y	See 4.9 Type report_party_type
goods_service	Represents details about goods or service	Type t_trans_item	N	-

Table 4: Details node transaction

3.4.1 Node t_from_my_client

This node should be provided if the source side of the transaction is a client of the reporting entity. Entity could be a direct party in bi-party transactions.

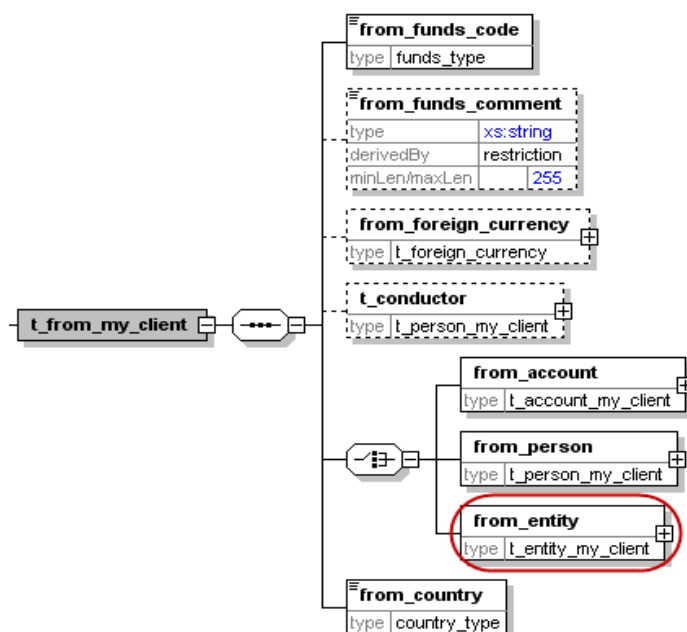


Figure 5: Overview node t_from_my_client

Name	Description	Length	Req.	Example
from_funds_code	Type of funds used in initiating transaction	Enumeration	Y	See 5.2 Funds type
from_funds_comment	Description, if funds_code is “O” (Other).	255	N	-
from_foreign_currency	If the transaction is conducted in foreign currency, then specify the foreign currency details.	type t_foreign_currency	N	See 4.7 Type t_foreign_currency
t_conductor	The person performing the transaction.	type t_person_my_client	N	See 4.3.1 Type t_person_my_client
from_account	Subnode that holds account information	type t_account_my_client	Y (one of them only)	See 4.1.1 Type t_account_my_client
from_person	Subnode that holds “from person” information.	Type t_person_my_client		See 4.3.1 Type t_person_my_client
from_entity	Subnode that holds “from entity” information.	Type t_entity_my_client		See 4.2.1 Type t_entity_my_client
from_country	Country where transaction was initiated.	Enumeration	Y	See 5.14 Country Codes

Table 5: Details node t_from_my_client

3.4.2 Node t_from

Source of the transaction. Can be either a person, an account or an entity. In case of a person source, the conductor could be different from the source person. The entity could be a direct party in bi-party transactions.

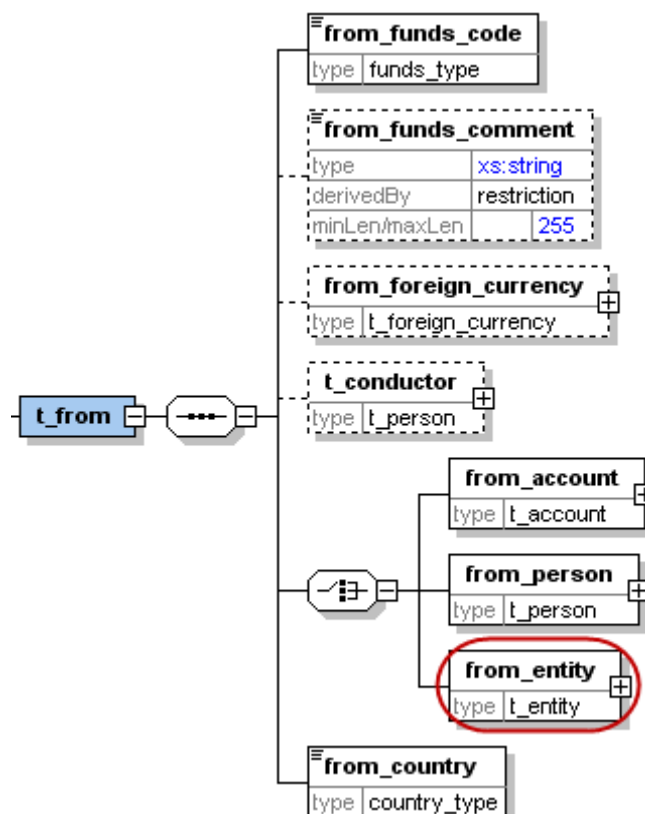


Figure 6: Overview node t_from

Note: Bi-directional transactions are composed of a source and destination. The source and destination may be either a person, an account or an entity. For account deposits, the source is a person and the destination is an account i.e. on t_from side we will have from_person and on the t_to side we will have t_to_account. For account withdrawals, we will have from_account at the t_from side and to_person at the t_to side. For money remittances, we will have person to person transactions i.e. from_person at the t_from side and to_person at the t_to side. The same structure of person to person transactions can be used for any money service type of transaction. For account transfers, we will have account to account transactions i.e. from_account at the t_from side and to_account at the t_to side.

Name	Description	Length	Req.	Example
from_funds_code	Type of funds used in initiating transaction	Enumeration	Y	See 5.2 Funds type
from_funds_comment	Description, if funds_code is “O” (Other).	255	N	-
from_foreign_currency	If the transaction is conducted in foreign currency, then specify the foreign currency details.	type t_foreign_currency	N	See 4.7 Type t_foreign_currency
t_conductor	The person performing the transaction.	type t_person	N	See 4.3.2 Type t_person
from_account	Subnode that holds account information	type “t_account	Y (one of them)	See 4.1.2 Type t_account
from_person	Subnode that holds “from person” information.	type “t_person”		See 4.3.2 Type t_person
from_entity	Subnode that holds “from entity” information.	type “t_entity”		See 4.2.2 Type t_entity
from_country	Country where transaction was initiated.	Enumeration	Y	See 5.14 Country Codes

Table 6: Details node t_from

3.5.1 Node t_to_my_client

This node should be provided if the destination side of the transaction is a client of the reporting entity.

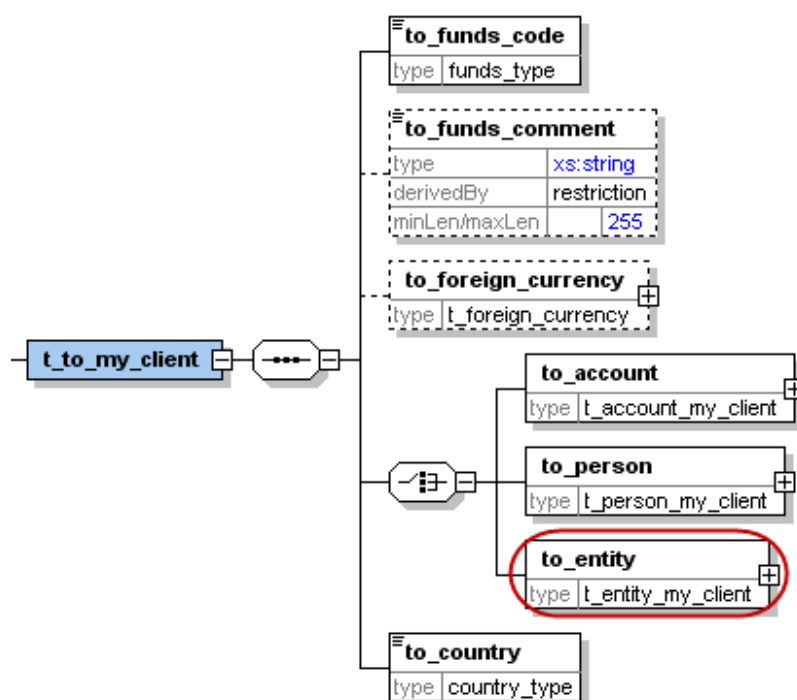


Figure 7: Overview node t_to_my_client

Name	Description	Length	Req.	Example
to_funds_code	Disposition of funds	Enumeration	Y	See 5.2 Funds type
to_funds_comment	Description, if funds code is "O" (Other).	255	N	-
to_foreign_currency	If the transaction is conducted in foreign currency, then specify the foreign currency details.	type t_foreign_currency	N	See 4.7 Type t_foreign_currency
to_account	Subnode that holds account information	type t_account_my_client	Y (one of them)	See 4.1.1 Type t_account_my_client
to_person	Subnode that holds person information	type t_person_my_client		See 4.3.1 Type t_person_my_client
to_entity	Subnode that holds "to entity" information.	Type t_entity_my_client		See 4.2.1 Type t_entity_my_client
to_country	Target country of the	Enumeration	Y	See 5.14

transaction			Country Codes
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Table 7: Details node t_to_my_client

3.5.2 Node t_to

Information about the transaction disposition(s) - i.e. where the money went.

t_to can either point to a person or to an account.

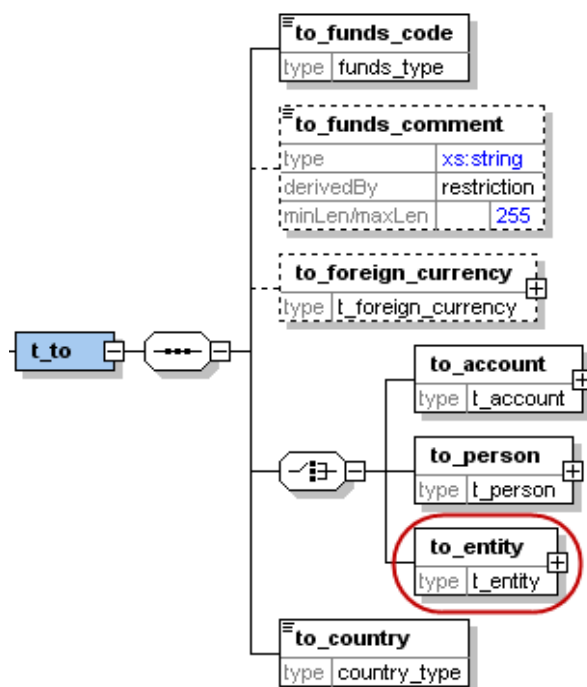


Figure 8: Overview node t_to

Name	Description	Length	Req.	Example
to_funds_code	Disposition of funds	Enumeration	Y	See 5.2 Funds type
to_funds_comment	Description, if funds_code is "O" (Other).	255	N	-
to_foreign_currency	If the transaction is conducted in foreign currency, then specify the foreign currency details.	type t_foreign_currency	N	See 4.7 Type t_foreign_currency
to_account	Subnode that holds account information	type t_account	Y (one of them)	See 4.1.2 Type t_account
to_person	Subnode that holds person information	type t_person		See 4.3.2 Type t_person
to_entity	Subnode that holds "to entity" information.	Type t_entity		See 4.2.2 Type t_entity
to_country	Target country of the	Enumeration	Y	See 5.14

	transaction			Country Codes
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Table 8: Details node t_to

3.6 Subnode goods_services

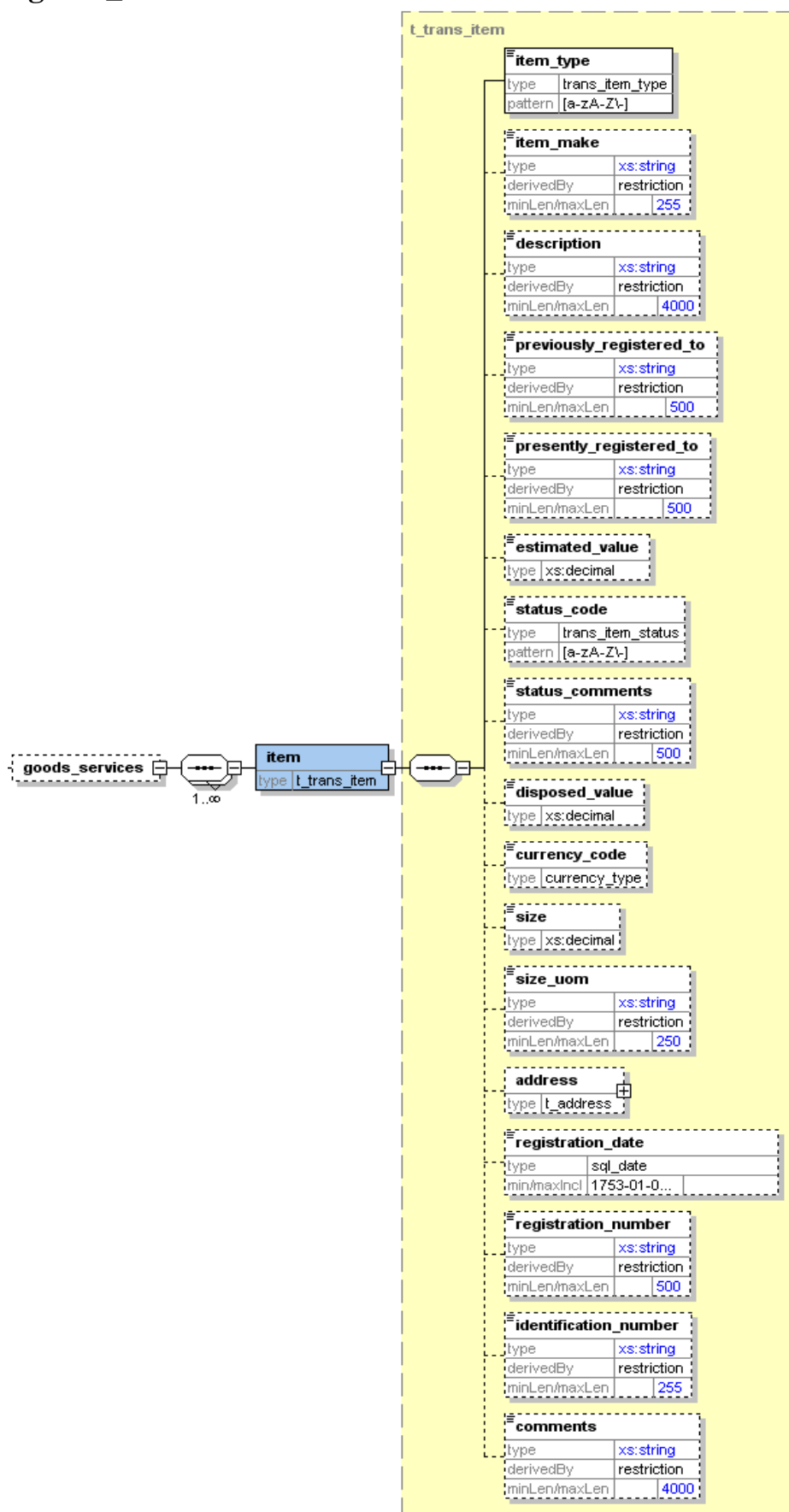


Figure 9: Overview subnode goods_services

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Name	Description	Length	Req.	Example
Item_type	Lookup code describes the item type	Type “trans_item_type”	Y	Equipment, services... See 5.12 Transaction item type
Item_make	Item Maker	255	N	In case of Car, for example Audi, Mercedes
description	Text	4000	N	Flat, house
previously_registered_to	Name of previous owner	500	N	John Smith
presently_registered_to	Name of current owner	500	N	Jane Smith
estimated_value	Estimated value of the property – Used Currency is the one specified in node <i>from_currency</i>	Decimal	N	250000.00
status_code	Status code	Enumeration	N	See 5.7 Transaction Item Status
status_comments	Status Comments	500	N	
disposed_value	effective value for property transfer – Used Currency is the one specified in node <i>from_currency</i>	Decimal	N	500000.00
Currency_code	used to report service conducted in foreign currency	Enumeration	N	See 5.13 Currencies
size	Size of the property – in unit specified in node <i>size_uom</i>	Decimal	N	150
size_uom	Unit of measurement	250	N	Square meters
address	Address of the property	type t_address	N	See 4.5 Type t_address
registration_date	Official registration date	DateTime	N	2008-12-17T09:30:47
registration_number	Official registration number	500	N	
Identification_number	Any number that can identify the item	255	N	Car Plate Number
Comments	Additional comments	4000	N	



Table 9: Details subnode goods_services



4. Description of Common Types Used in the Schema

4.1.1. Type *t_account_my_client*

The structure of this type is similar to that of type *t_account*, with some added restrictions i.e. some nodes which are not mandatory in *t_account* may be mandatory in *t_account_my_client*. The logic here is that whenever the involved account or person is the client of the reporting entity, more details may be demanded on the client, and the reporting entity must have the information as part of the adherence to the “Know Your Customer” (KYC) philosophy of compliance.

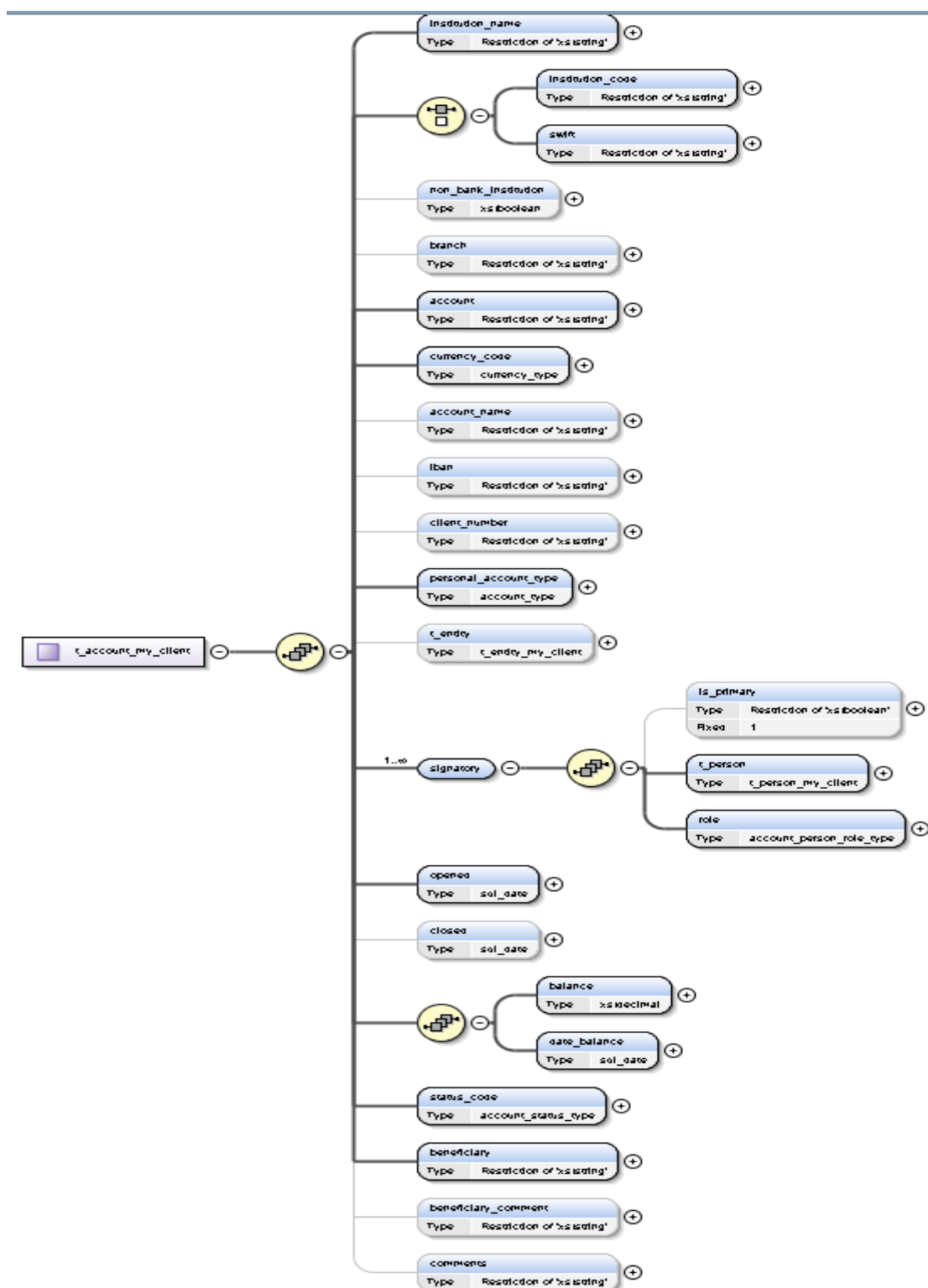


Figure 10: Overview type *t_account_my_client*

Name	Description	Length	Req.	Example
Institution_name	The name of the Bank	255	Y	Bank of ...
institution_code	Institution code	50	Y (one of them)	-
swift	SWIFT code according to ISO 9362	8		ATBVI
Non_banking_inst itution	A flag to cover cases where the account belongs to non-banking institution	Boolean	N	Investment company
Branch	Branch code or name	255	N	ABX12
Account	Account number	50	Y	31032027088
currency_code	Currency the account is kept in	Enumeration	Y	See 5.13 Currencies
account_name	This is a free text field used to "Label" the account, for example a saving account with anonymous owner, or an Entity account dedicated to Invoices etc.	255	N	Private savings account
iban	IBAN	34	N	LT601010012345678901
client_number	Client number	30	N	31032027088
personal_account_type	Account Type	Enumeration	Y	See 5.3 Account type
t_entity	Business entity owning the account	type t_entity_my_client	N	See 4.2.1 Type t_entity_my_client
signatory	Person(s) with access to the account.	Subnode (can be repeated to specify multiple holders/signatories). Note that the node t_person is of type t_person_my_client	Y	-
is_primary	Identifies the primary account holder. Only one holder/signatory may be marked as <i>is_primary</i> . Has to be 'true' when node is set.	fixed = 1	N	
t_person	Subnode holding detailed information about the holder(s) & signatory(ies).	type t_person_my_client	Y	See 4.3.1 Type t_person_my_client

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role	Subnode holding enumeration about the role of current holder(s) & signatory(ies) with the account.	type “account_person_role_type”	Y	See 5.15 Account_person_role_type
opened	Date account opened	DateTime	Y	2008-01-25T00:00:00
closed	Date account closed	DateTime	N	20015-03-25T00:00:00
balance	The account balance after the transaction was conducted.	Decimal	Y	5000.50
date_balance	A date to specify the date of the reported balance. Application will show balance history.	Date	Y	
status_code	Account status when report was submitted.	Enumeration	Y	See 5.4 Account status type
beneficiary	Ultimate beneficiary of the account	50	Y	Andreas Georgiou
beneficiary_comment	Any special remark on the beneficiary	255	N	
comments	Generic comments elements	4000	N	

Table 10: Details type t_account_my_client

4.1.2. Type t_account

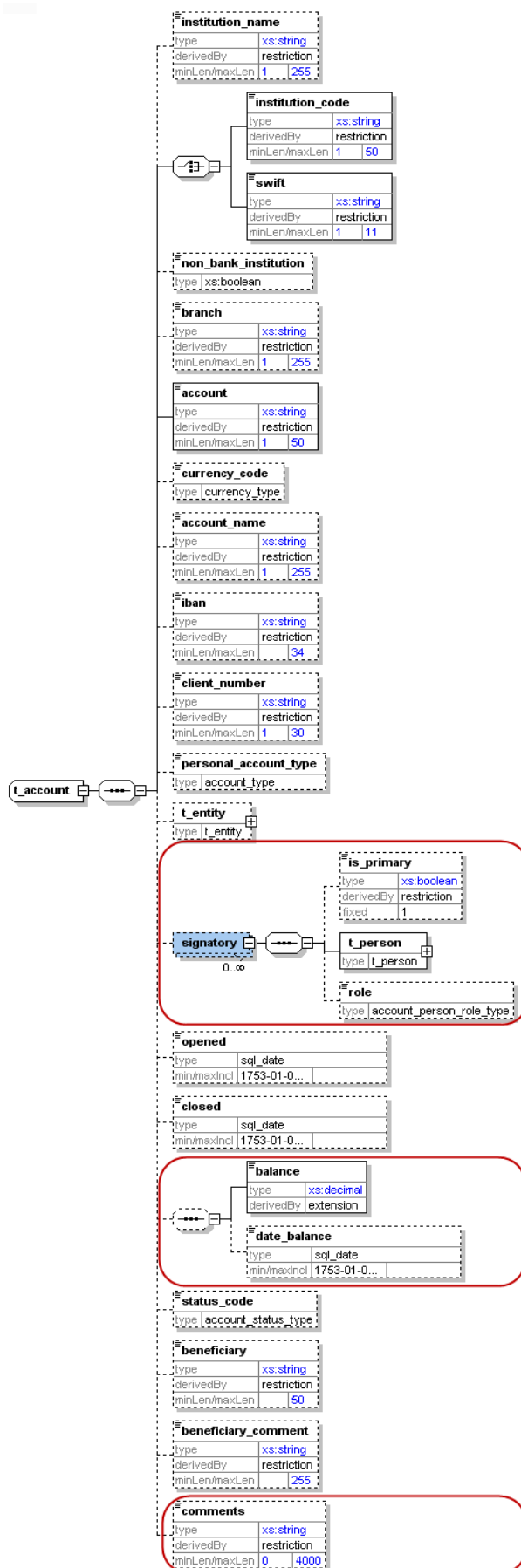


Figure 11: Overview type t_account

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Name	Description	Length	Req.	Example
Institution_name	The name of the Bank	255	N	Bank of ...
institution_code	Institution code issued by FIU	50	Y (one of them)	-
swift	SWIFT code according to ISO 9362	8		ATTBVI
Non_banking_institution	A flag to cover cases where the account belongs to non-banking institution	Boolean	N	Investment company
Branch	Branch code or name	255	N	ABX12
Account	Account number	50	Y	31032027088
currency_code	Currency the account is kept in	Enumeration	N	See 5.13 Currencies
account_name	This is a free text field used to “Label” the account; for example a saving book account with anonymous owner, or an Entity account dedicated to Invoices, etc.	255	N	Private savings account
iban	IBAN	34	N	LT601010012345678901
client_number	Client number	30	N	31032027088
personal_account_type	Account Type	Enumeration	N	See 5.3 Account type
t_entity	Business entity owning the account	type t_entity	N	See Type t_entity
signatory	Person(s) with access to the account.	Subnode (can be repeated to specify multiple holders/signatories). Note that the node t_person is of type t_person	N	-
is_primary	Identifies the primary account holder. Only one holder/signatory may be marked as <i>is_primary</i> . Has to be ‘true’ when node is set.	fixed = 1	N	
t_person	Subnode holding detailed information about the holder(s)/signatory(ies).	type t_person	Y	See 4.3.2 Type t_person



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role	Subnode holding enumeration about the role of current holder/signatory with the account.	type “account_person_role_type”	N	See 5.15 Account_person_role_type
closed	Date account closed	DateTime	N	2015-03-25T00:00:00
balance	The account balance after the transaction was conducted.	Decimal	N	5000.50
date_balance	A date field to specify the date of the reported balance. Application will show balance history	Date	N	
status_code	Account status when report was submitted	Enumeration	N	See 5.4 Account status type
beneficiary	Ultimate beneficiary of the account	50	N	Ella Machera
beneficiary_comment	Any special remark on the beneficiary	255	N	
comments	Generic comments elements	4000	N	

Table 11: Details type t_account

4.2.1 Type *t_entity_my_client*

The structure of this type is similar to that of type *t_entity*, with some added restrictions i.e. some nodes which are not mandatory in *t_entity* may be mandatory in *t_entity_my_client*

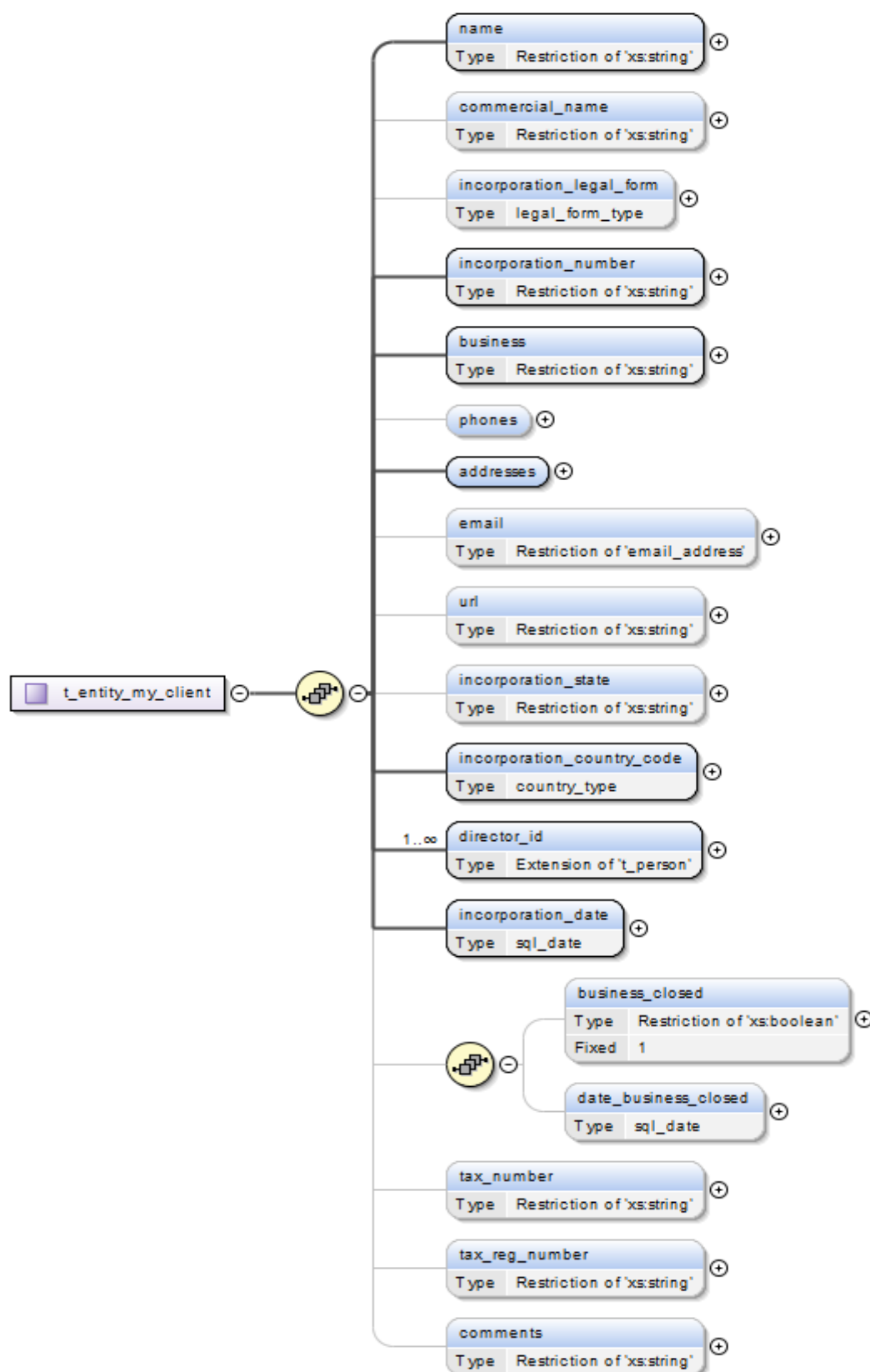


Figure 12: Overview type *t_entity_my_client*

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Name	Description	Length	Req.	Example
Name	Name of Entity	255	Y	ABC Ltd
Commercial_name	The “traded as” name of the entity	255	N	
Incorporation_legal_form	The legal form of the entity	Type “legal_form_type”	N	Private Ltd, Trust etc See 5.11 Entity Legal Form Type
Incorporation_number	The registration number of the entity/"company" in the relevant authority (e.g. Chamber of Commerce)	50	Y	-
Business	Business area of the entity	255	Y	Free text describing business e.g. IT Services, Imports, Exports etc.
Phones	A Holder node for a 1..many Phones		N	
Phone	One occurrence of phone node	Type “t_phone”	N	See 4.6 Type t_phone
Addresses	A Holder node for a 1..many Addresses		Y	
Address	One occurrence of address node	Type “t_address”	Y	See 4.5 Type t_address
Email	Email address	email_address (255)	N	test@mail.com
url	Entity web address	255	N	www.entity.com
incorporation_state	Name of the State	255	N	-
incorporation_country_code	Country	Enumeration	Y	See 5.14 Country Codes
director_id	Individuals authorized This node has an optional element “role” to describe the role of the subject in the entity from type 5.16 Entity_person_role_type	type t_person (Subnode can be repeated for multiple persons)	Y	See 4.3.2 Type t_person and also see 5.16 entity_person_role_type for role type.
incorporation_date	Incorporation registration date	Date	Y	
business_closed	Boolean to indicate if the company is closed down	Boolean	N	
date_business_closed	If entity is closed then specify close date if available	Date	N	



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tax_number	The entity tax number	100	N	
tax_registration_number	Registration number of the entity by the Tax authorities	100	N	
Comments	Generic comments field	4000	N	* <u>See note below.</u>

Table 12: Details type t_entity_my_client

* **Note:** The current version of goaml does not support a corporate structure in which a company might be director or secretary or shareholder of another company. As this will be incorporated in the next major release of the software, a work-around solution should be temporarily applied. In the event where we have a company being a director or secretary or shareholder of another company, the financial institution should include in the “comments” field of the entity involved the details (at least: name and incorporation country) of the company being director or shareholder or secretary and the relationship. For example, if company A is director of company B, then company B should be added as usual and in the “comments” field of company B the minimum details of company A and the their relationship should be indicated.



4.2.2 Type t_entity

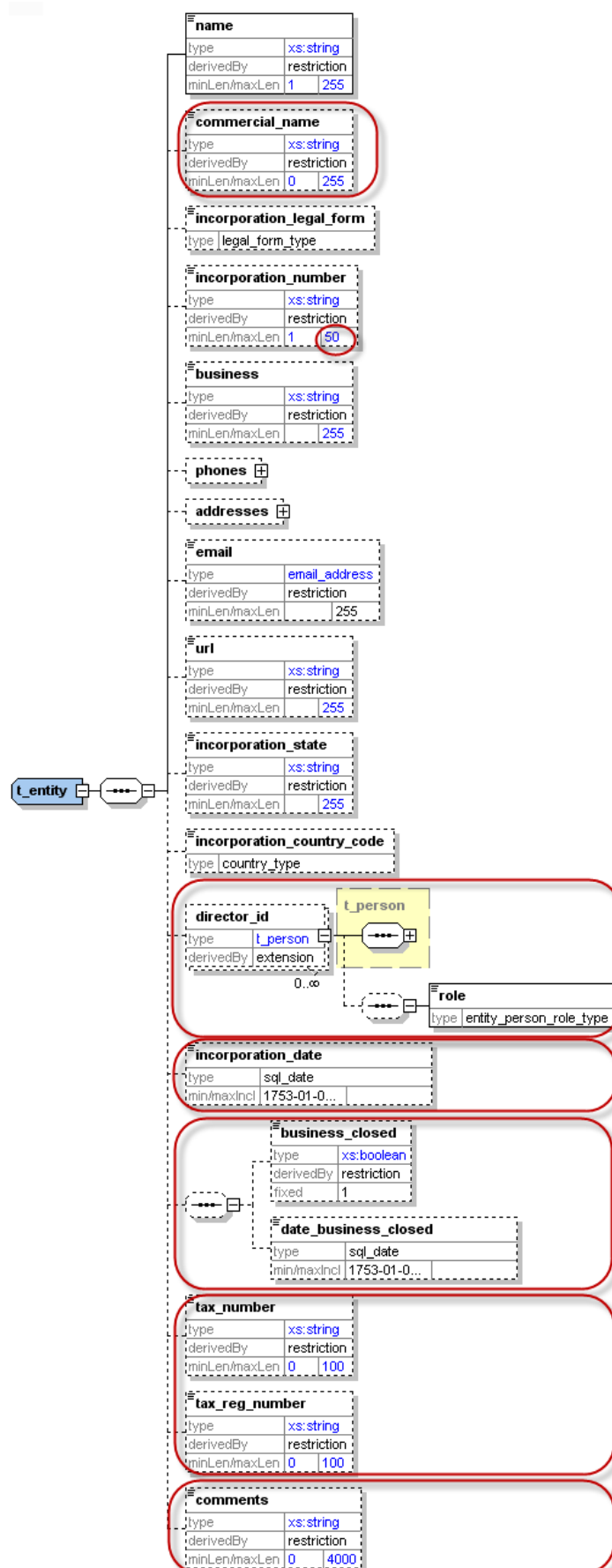


Figure 13: Overview type t_entity

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Name	Description	Length	Req.	Example
Name	Name of Entity	255	Y	ABC Ltd
Commercial_name	The “traded as” name of the entity	255	N	
Incorporation_legal_form	The legal form of the entity	Type “legal_form_type”	N	Private Ltd, Trust etc See 5.11 Entity Legal Form Type
incorporation_number	The registration number of the entity/"company" in the relevant authority (e.g. Chamber of Commerce)	50	N	-
Business	Business area of the entity	255	N	Free text describing business e.g. IT Services, Import, Export etc.
Phones	A Holder node for a 1..many Phones		N	
Phone	One occurrence of phone node	Type “t_phone”	N	See 4.6 Type t_phone
Addresses	A Holder node for a 1..many Addresses		N	
Address	One occurrence of address node	Type “t_address”	N	See 4.5 Type t_address
Email	Email address	email_address (255)	N	test@mail.com
url	Entity web address	255	N	www.entity.com
incorporation_state	Name of the State	255	N	-
incorporation_country_code	Country	Enumeration	N	See 5.14 Country Codes
director_id	Individuals authorized This node has an optional element “role” to describe the role of the subject in the entity from 5.16 entity_person_role_type for role type	type t_person (Subnode can be repeated for multiple persons)	N	See Type t_person_my_client and also see 5.16 entity_person_role_type for role type
incorporation_date	Incorporation registration date	Date	N	
business_closed	Boolean to indicate if the company is closed down	Boolean	N	
date_business_closed	If entity is closed then specify close date if available	Date	N	
tax_number	The entity tax number	100	N	



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tax_registration_number	Registration number of the entity by the Tax authorities	100	N	
comments	Generic comments field	4000	N	<u>* See Note Below</u>

Table 13: Details type t_entity

* **Note:** The current version of goaml does not support a corporate structure in which a company might be director or secretary or shareholder of another company. As this will be incorporated in the next major release of the software, a work-around solution should be temporarily applied. In the event where we have a company being a director or secretary or shareholder of another company, the financial institution should include in the “comments” field of the entity involved the details (at least: name, incorporation country) of the company being director or shareholder or secretary and the relationship. For example, if company A is director of company B, then company B should be added as usual and in the “comments” field of company B the minimum details of company A and the their relationship should be indicated.

4.3.1 Type *t_person_my_client*

The structure of this type is similar to that of type *t_person*, with some added restrictions i.e. some nodes which are not mandatory in *t_person* may be mandatory in *t_person_my_client*.

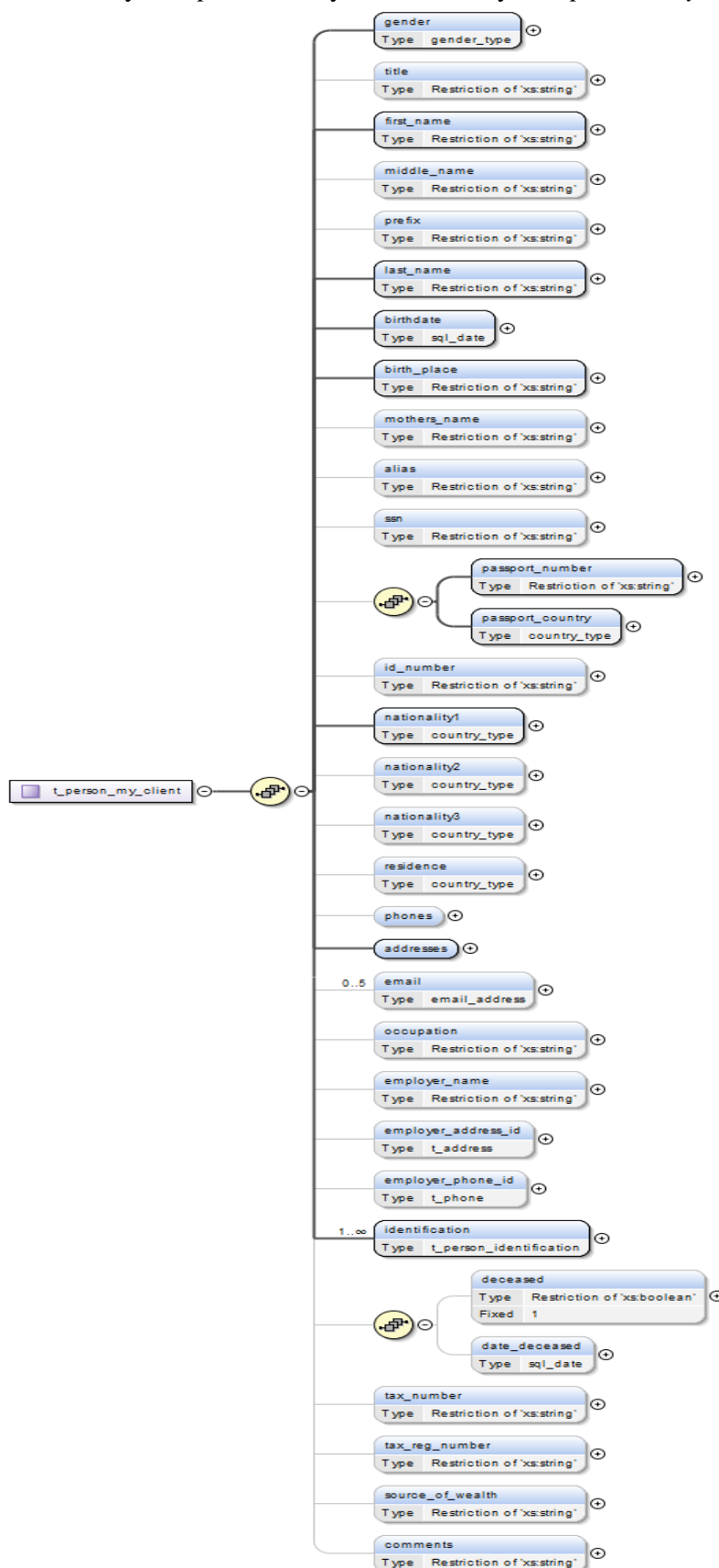


Figure 14: Overview type *t_person_my_client*

Name	Description	Length	Req.	Example
Gender	Gender	Enumeration	Y	M or F
Title	Title	30	N	Dr.
first_name	First name	100	Y	Elyas
middle_name	Middle name	100	N	X.
prefix	Prefix name	100	N	Van, Von,...
last_name	Last name	100	Y	Machera
Reporting entity should submit <i>birthdates</i> for persons who are their customers.				
birthdate	Birth date	DateTime	Y	1953-01-25T00:00:00
Birth_place	Place of birth	255	Y	Vienna
mothers_name	Can be used as father, mother, second name, other name, etc. as per country's regulation	100	N	Smith
Alias	Alias Name, Known as, etc	100	N	
SSN	Social Security Number	25	N	
passport_number	No. of passport	25	Y	Passport number to be used as unification rule (in the case it was decided to add passport which is not mandatory)
passport_country	Passport issue country (Can be reported only when there is a passport number)	25	Y	Passport country to be used as unification rule (in the case it was decided to add passport which is not mandatory)
id_number	Any additional identification number rather than SSN and passport	25	N	Additional identification number
Phones	A Holder node for a 1..many Phones		N	
Phone	One occurrence of phone node	Type "t_phone"	N	See 4.6 Type t_phone
Addresses	A Holder node for a 1..many Addresses		Y	
Address	One occurrence of address node	Type t_address	Y	See 4.5 Type t_address
Nationality1	Country of Nationality (1)	Enumeration	Y	See 5.14 Country Codes

Standard XML Reporting Instructions and Specifications

Nationality2	Country of Nationality (2)	Enumeration	N	See 5.14 Country Codes
Nationality3	Country of Nationality (3)	Enumeration	N	See 5.14 Country Codes
residence	Country of residence	Enumeration	N	See 5.14 Country Codes
Email	Email address	Type email_address (255)	N	test@mail.com
Occupation	Occupation	255	N	Financial Analyst
employer_name	Employer's name	255	N	FIU
employer_address_id	Employer's address	type t_address	N	See 4.5 Type t_address
employer_phone_id	Employer's phone	type t_phone	N	See 4.6 Type t_phone
identification	Subnode(s) for identification documents	type t_person_identification (This subnode can be repeated to specify multiple identification documents)	Y	See 4.8 Type t_person_identification
deceased	A Boolean to indicated if person has passed away	Boolean	N	
deceased_date	If deceased, then RE can report deceased date if known as well	Date	N	
tax_numbr	The person tax number	100	N	
tax_reg_number	The person tax reg. number by tax auth.	100	N	
source_of_wealth	Free text description of the person source of wealth	255	N	
comments	Generic comments field	4000	N	

Table 14: Details type t_person_my_client

4.3.2 Type t_person

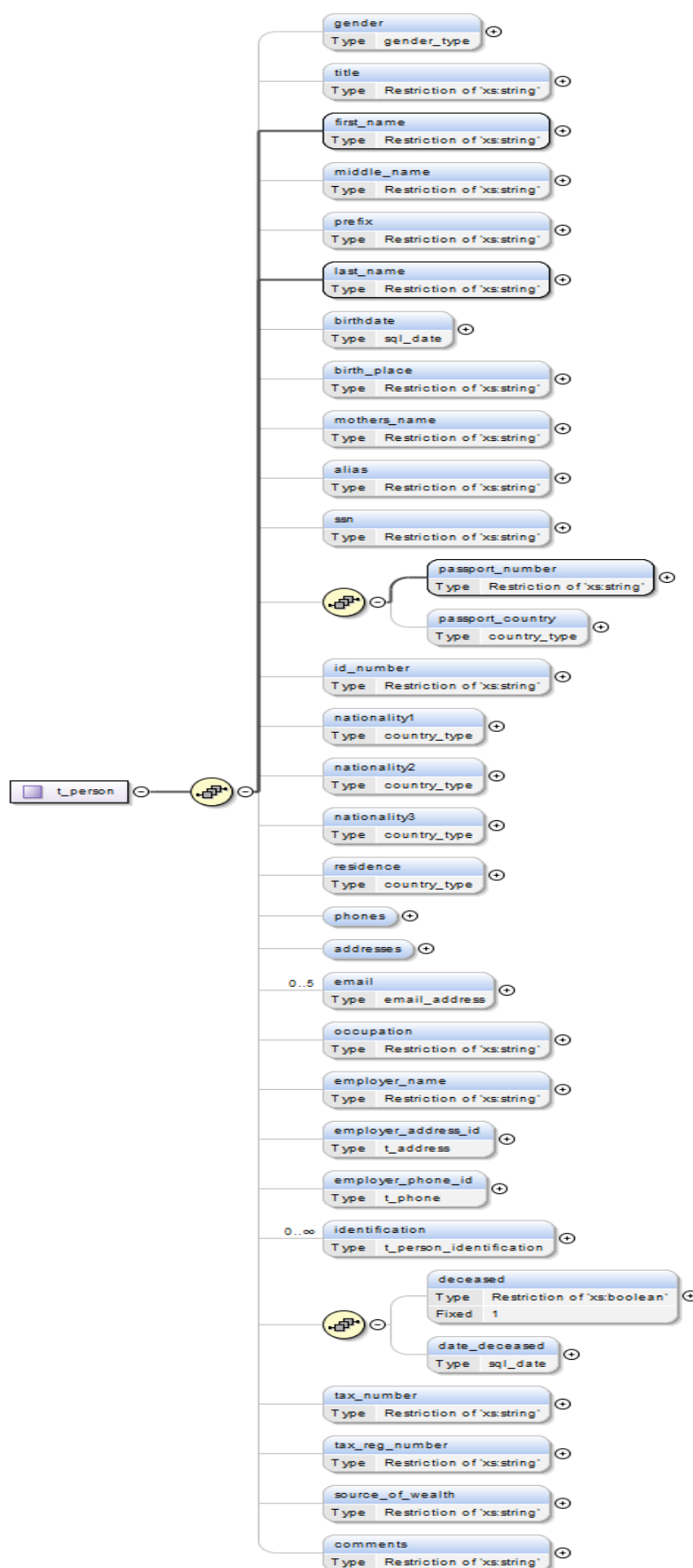


Figure 15: Overview type t_person

Name	Description	Length	Req.	Example
Gender	Gender	Enumeration	N	M or F
Title	Title	30	N	Dr.
first_name	First name	100	Y	Elyas
middle_name	Middle name	100	N	X.
prefix	Prefix name	100	N	Van, Von,...
last_name	Last name	100	Y	Machera
birthdate	Birth date	DateTime	N	1953-01-25T00:00:00
Birth_place	Place of birth	255	N	Vienna
mothers_name	Can be used as father, mother, second name, other name etc. as per country's regulation	100	N	Smith
alias	Alias, Known As, etc	100	N	
SSN	Social Security Number	25	N	
passport_number	No. of passport	25	Y	Passport number to be used as unification rule (in the case it was decided to add passport which is not mandatory)
passport_country	Passport issue country (Can be reported only when there is a passport number)	25	N	Passport country to be used as unification rule
id_number	Any additional identification number rather than SSN and passport	25	N	Additional identification number
Phones	A Holder node for a 1..many Phones		N	
Phone	One occurrence of phone node	Type "t_phone"	N	See 4.6 Type t_phone
Addresses	A Holder node for a 1..many Addresses		N	
Address	One occurrence of address node	Type t_address	N	See 4.5 Type t_address
Nationality1	Country of Nationality (1)	Enumeration	N	See 5.14 Country Codes
Nationality2	Country of Nationality (2)	Enumeration	N	See 5.14 Country Codes
Nationality3	Country of Nationality (3)	Enumeration	N	See 5.14 Country Codes

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residence	Country of residence	Enumeration	N	See 5.14 Country Codes
Email	Email address	255	N	test@mail.com
Occupation	Occupation	255	N	Financial Analyst
employer_name	Employer's name	255	N	FIU
employer_address_id	Employer's address	type t_address	N	See 4.5 Type t_address
employer_phone_id	Employer's phone	type t_phone	N	See 4.6 Type t_phone
identification	Subnode(s) for identification documents	type t_person_identification (This subnode can be repeated to specify multiple identification documents)	N	See 4.8 Type t_person_identification
deceased	A Boolean to indicated if person has passed away	Boolean	N	
deceased_date	If deceased, then RE can report deceased date if known as well	Date	N	
tax_numbr	The person tax number	100	N	
tax_reg_numbr	The person tax reg. number by tax auth.	100	N	
source_of_wealth	Free text description of the person source of wealth	255	N	
comments	Generic comments field	4000	N	

Table 15: Details type t_person

4.4 Type t_party

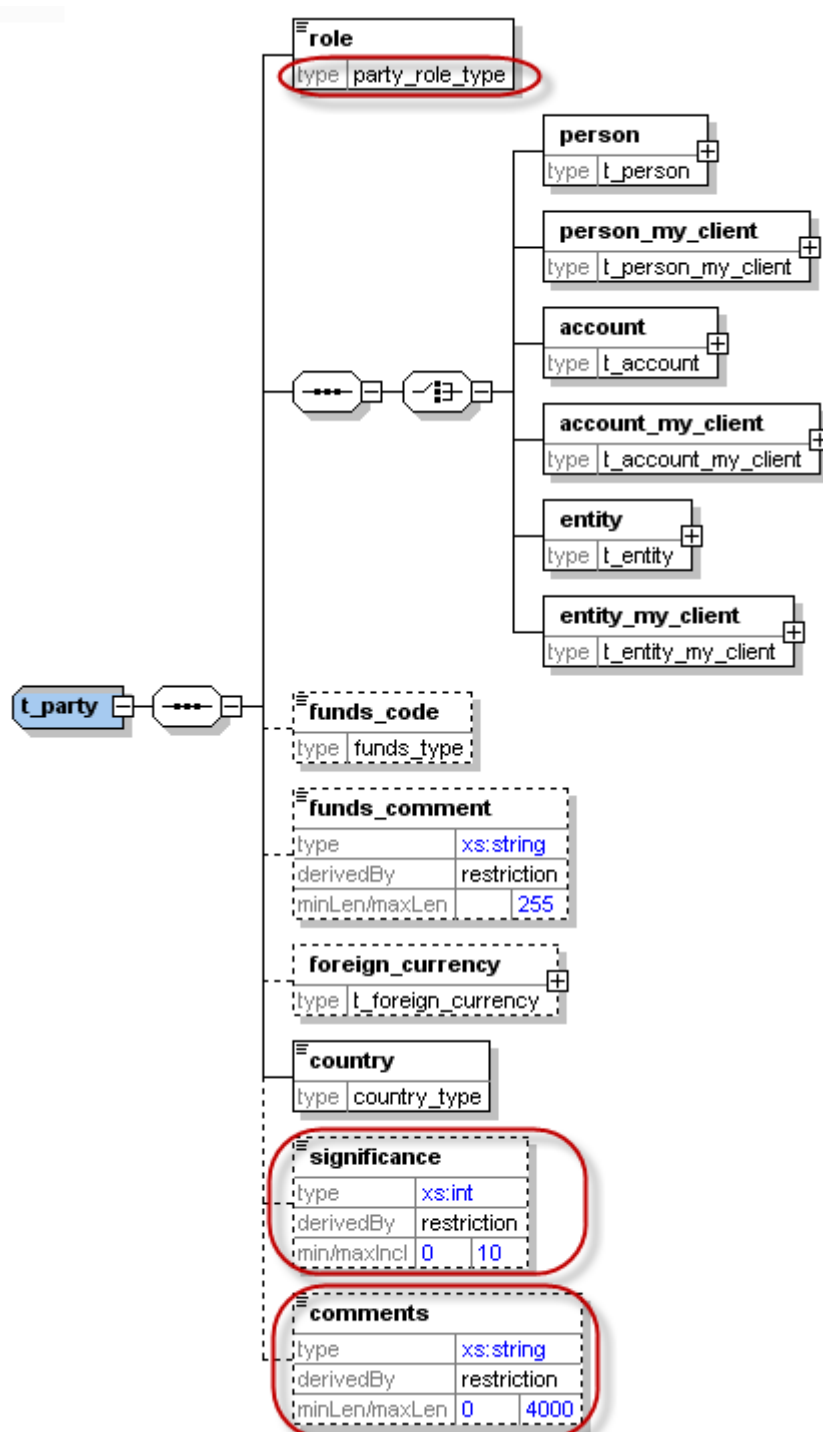


Figure 16: Overview type t_party

Name	Description	Length	Req.	Example
role	Subject role in the transaction	Enumeration	Y	Buyer, Seller,...
Subject: One occurrence of the supported subject types must be included.				
Person	Involved Person	Type "t_person"	Y	See Type t_person
Person_my_client	Involved Person	Type "t_person_my_client"	Y	See 4.3.1 Type t_person_my_client
account	Involved Account	Type "t_account"	Y	See 4.1.2 Type t_account
account_my_client	Involved Account	Type "t_account_my_client"	Y	See 4.1.1 Type t_account_my_client
entity	Involved Entity	Type "t_entity"	Y	See Type t_entity
entity_my_client	Involved Entity	Type "t_entity_my_client"	Y	See 4.2.1 Type t_entity_my_client
funds_code	Type of funds used in initiating transaction	Enumeration	N	See 5.2 Funds type
funds_comment	Description, if funds_code is "O" (Other).	255	N	-
foreign_currency	If the transaction is conducted in foreign currency, then specify the foreign currency details.	type t_foreign_currency	N	See 4.7 Type t_foreign_currency
country	Country of the transaction	Enumeration	Y	See 5.14 Country Codes
significance	The significance of the subject in the transaction	Integer	N	0-10
comments	Generic comments	4000	N	

Table 16: Details type t_party

4.5 Type t_address

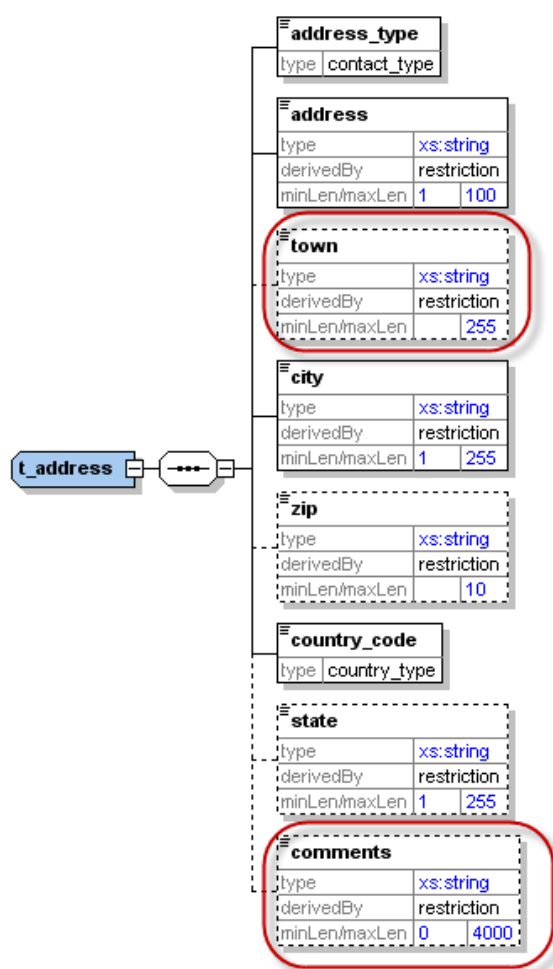


Figure 17: Overview type t_address

Name	Description	Length	Req.	Example
Address_type	The contact type of the address	Enumeration	Y	Business, Residential etc See 5.9 Contact Type
Address	Street name and house number	100	Y	-
Town	Name of Town/district/... as part of a City	255	N	
City	City	255	Y	-
Zip	Zip Code	10	N	A-1220
country_code	Country	Enumeration	Y	See 5.14 Country Codes
State	State	255	N	
comments	Generic comments	4000	N	

Table 17: Details type t_address

4.6 Type t_phone

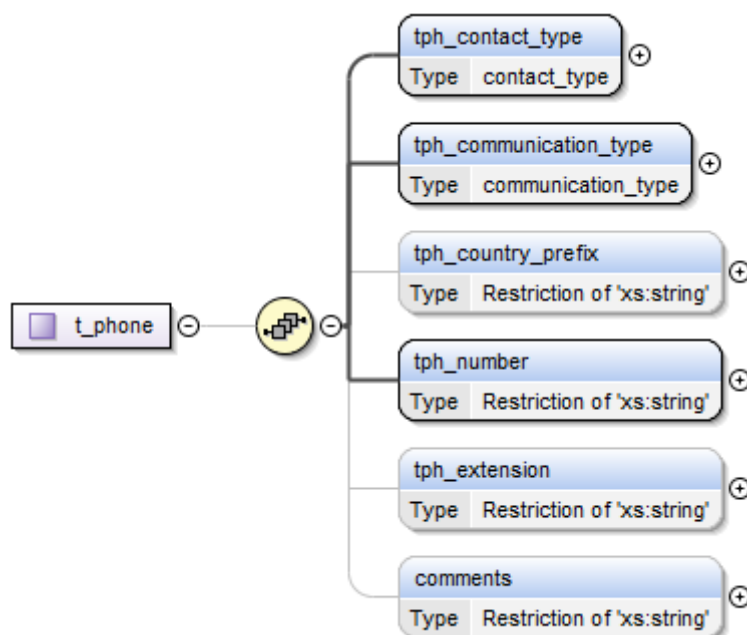


Figure 18: Overview type t_phone

Name	Description	Length	Req.	Example
tph_contact_type	The contact type of the Phone	Enumeration	Y	Business, Residential.. See 5.9 Contact Type
tph_communication_type	Communication type of the Phone	Enumeration	Y	Landline, mobile, fax,... 5.10 Communication Type
tph_country_prefix	Country phone code	4	N	0043
tph_number	Phone number	50	Y	6655778
tph_extension	Phone's extension	10	N	7789
comments	Generic comments	4000	N	

Table 18: Details type t_phone

4.7 Type t_foreign_currency

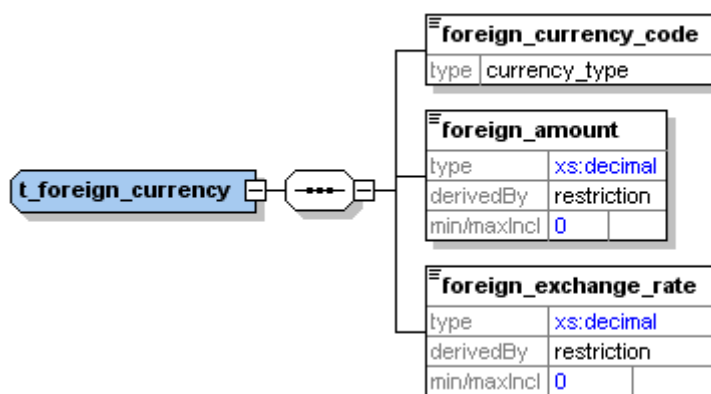


Figure 19: Overview type t_foreign_currency

Name	Description	Length	Req.	Example
foreign_currency_code	Currency Code according to ISO 4217	Enumeration	Y	See 5.13 Currencies
foreign_amount	Transaction amount in foreign currency	Decimal	Y	1300.50
foreign_exchange_rate	Exchange rate which has been used for transaction	Decimal	Y	1.45

Table 19: Details type t_foreign_currency

4.8 Type t_person_identification

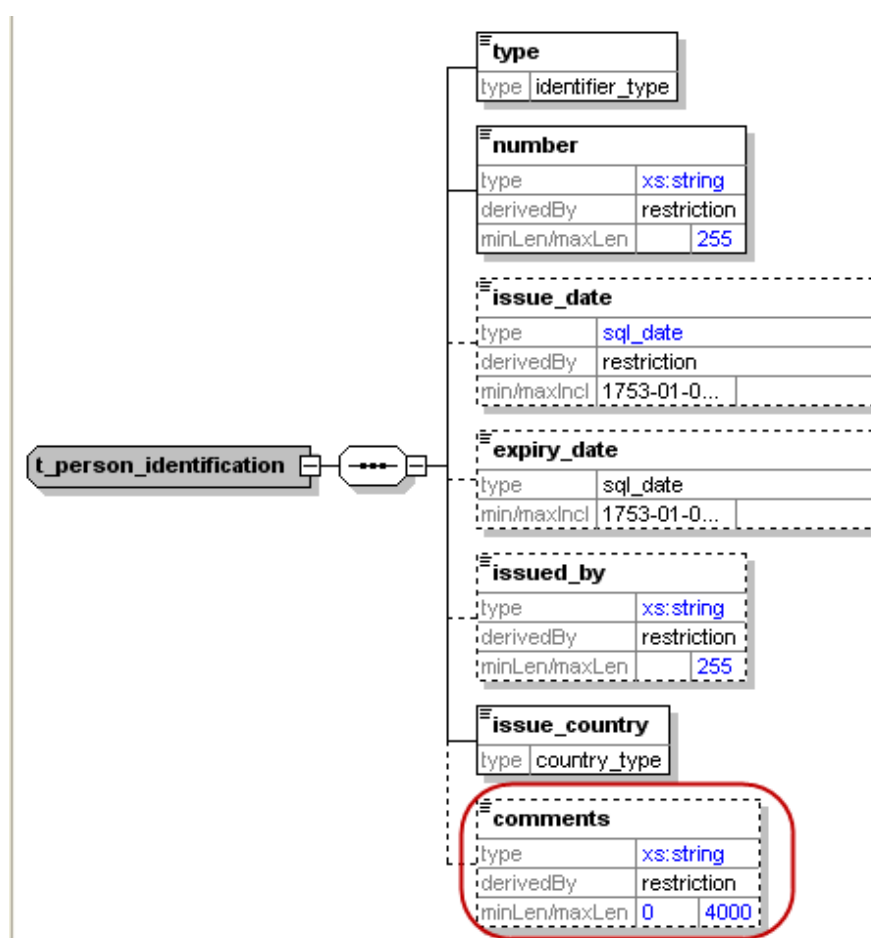


Figure 20: Overview type t_person_identification

Name	Description	Length	Req.	Example
type	Document type	Enumeration	Y	See 5.5 Identifier type
number	ID of the identification document	255	Y	AT08154711
issue_date	Identification document issue date	DateTime	N	2001-12-17T09:30:47
expiry_date	Identification document expiry date	DateTime	N	2012-01-01T00:00:00
issued_by	Name of Authority issued the document	255	N	Interior Ministry
issue_country	Country where the document was issued	Enumeration	Y	See 5.14 Country Codes
comments	Generic comments field	4000	N	

Table 20: Type t_person_identification

4.9 Type report_party_type

Represents an involved subject in a report and its details. Subject can be a Person, an Account or an Entity – one of them can be included per involved party.

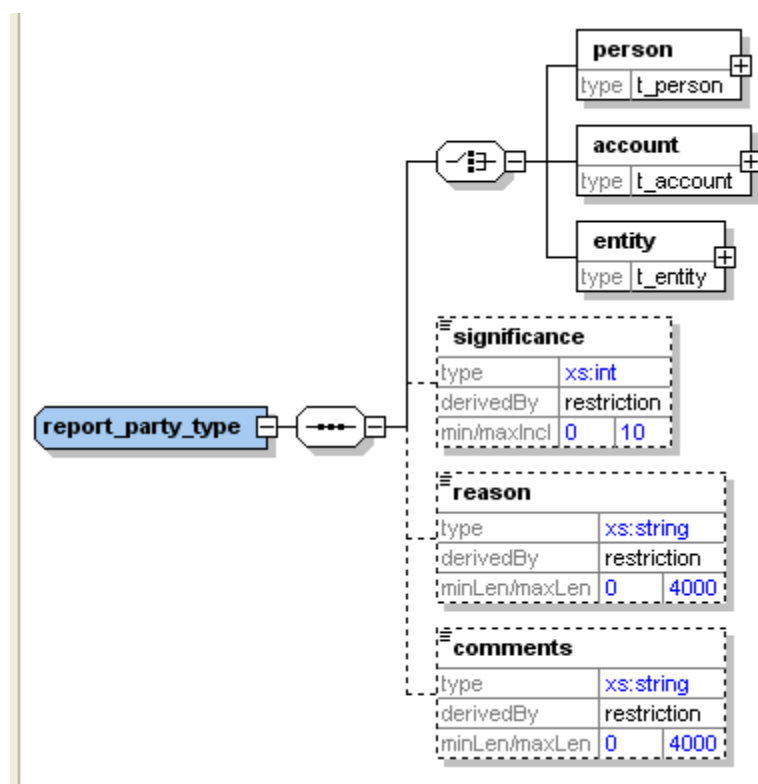


Figure 21: Overview type report_party_type

Name	Description	Length	Req.	Example
One of the following subject nodes must be included when activity node is used				
person	Represents an involved person node	t_person type	Y	
account	Represents an involved account	t_account type	Y	
entity	Represents an involved entity	t_entity type	Y	
significance	The significance of the subject in the report	Integer	N	0 - 10
reason	Why the subject is involved in the current report	4000	N	
comments	Generic comments element	4000	N	

Table 21: Type report_party_type

5. Lookup Values

5.1 Submission type

Value	Description
E	Electronically

Table 22: submission type

5.2 Funds type

Value	Description
A	Deposit
B	Electronic fund transfer
C	Currency exchange
D	Casino chips
E	Bank draft
F	Money order
G	Traveler's cheques
H	Life insurance policy
I	Real estate
J	Securities
K	Cash
L	From Account
M	Hotel transaction
N	NGOs, Charities
O	Other
P	Cheque
-	UNKNOWN
1	Bills of Exchange
2	Bitcoin
3	E-Money
4	Fixed Deposit
5	Credit Card

Table 23: Funds type

5.3 Account type

Value	Description
B	Current
C	Savings
TA	Trust account
TRA	Trading account
LO	Loan account
CC	Credit Card Account
CA	Client's Account
FD	Fixed Deposit Account
NA	Notice Account
OTHER	Other
-	UNKNOWN
1	Joint Account
2	Minor Account
3	Safe Deposit Box

Table 24: Account type



5.4 Account status type

Value	Description
A	Active
B	Blocked
C	Closed
D	Dormant
-	UNKNOWN
1	No account associated or other relationship

Table 25: Account status type

5.5 Identifier type

Value	Description
A	Driver License
B	National Identity Card
C	International Passport
DP	Domestic Passport
D	Other
-	UNKNOWN
1	Alien Registration Certificate (ARC)
2	Suspected Fraudulent

Table 26: Identifier type

5.6 Conduction Type

Value	Description
A	ATM
B	In Branch
C	Phone Transaction
D	Internet Banking
E	Email
F	Fax
G	Other
-	UNKNOWN

Table 27: Conduction Type

5.7 Transaction Item Status

Value	Description
A	Bought
B	Sold
C	Let
D	Hired
E	Exchanged
F	Donated
G	Destroyed
H	In transit
I	Other

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1	Mortgaged
2	Contract of Sale
3	Freezed
4	Leased
5	Restrained
-	UNKNOWN

Table 28: Transaction Item Status

5.8 Report Code

Value	Description
SAR	Suspicious Activity Report (SAR)
STR	Suspicious Transaction Report (STR)
AIF-A	Additional Information File SAR
AIF-T	Additional Information File STR

Table 29: Report Code

5.9 Contact Type

Value	Description
A	Business
B	Residential
3	Registered
1	Mailing
2	Head Office
-	UNKNOWN

Table 30: Contact Type

5.10 Communication Type

Value	Description
L	Landline Phone
F	Fax
M	Mobile Phone
S	Satellite Phone
P	Pager
E	Email
SM	Social Media
OTHER	Other
-	UNKNOWN

Table 31: Communication Type

5.11 Entity Legal Form Type

Value	Description
1	PRIVATE LIMITED
2	PUBLIC LIMITED
3	UNLIMITED LIABILITY
4	SEMI-GOVERNMENTAL ORGANIZATION
5	GOVERNMENT ORGANIZATION
6	CITY COUNCIL/LOCAL AUTHORITIES
7	CENTRAL BANK
8	LOCAL BANK
9	OFFSHORE BANK
10	FOREIGN BANK
11	CENTRAL CO-OPERATIVE BANK
12	CO-OPERATIVE SOCIETY
13	PROVIDENT/PENSION FUND
14	JOINT VENTURE
15	TRUST
16	LIMITED LIABILITY PARTNERSHIP
17	TRADE UNION
18	FOUNDATION RESIDENT
19	FOUNDATION
20	CHARITY ORGANIZATION
21	CLUB/MEMBERS ASSOCIATION
22	GOV. CONTROLLED ORGANISATION
23	OTHER
24	UNKNOWN

Table 32: Legal Form Type

5.12 Transaction Item Type

Value	Description
E	Equipment
F	Furniture
J	Jewellery
P	Property
V	Vehicle
W	Weapon
S	Services
SE	Securities
EC	Electronic Currency
-	UNKNOWN
1	Other
2	Intellectual Property Rights
3	Art
4	Consumer goods

Table 33: Transaction Item Type



5.13 Currencies

World Currencies (and their abbreviations) listed by ISO 4217

ADP	Andorran Peseta (no longer in use)
AED	United Arab Emirates Dirham
AFA	Afghani
ALL	Leek
AMD	Dram
ANG	Netherlands Antilles Guilder
AOK	Kwanza
AON	New Kwanza
ARA	Austral
ARP	Argentinean Peso
ARS	Argentinean Nuevo Peso
ATS	Schilling (no longer in use)
AUD	Australian Dollar
AWG	Aruban Guilder
AZM	Azerbaijani Manat
BAM	Convertible Mark
BBD	Barbados Dollar
BDT	Taka
BEC	Convertible Belgian Franc (no longer in use)
BEF	Belgian Franc (also known as Frank - no longer in use)
BEL	Financial Belgian Franc (no longer in use)
BGL	Lev
BHD	Bahraini Dinar
BIF	Burundi Franc
BMD	Bermudian Dollar
BND	Brunei Dollar
BOB	Boliviano
BOP	Bolivian Peso
BRC	Cruzeiro
BRL	Real
BRR	Cruzeiro Real
BSD	Bahamian Dollar
BTN	Ngultrum
BUK	Replaced by MMK
BWP	Pula
BYR	Belarussian Rouble
BZD	Belize Dollar
CAD	Canadian Dollar
CDF	Congolese Franc
CDZ	New Zaïre
CHF	Swiss Franc
CLF	Unidades de Fomento
CLP	Chilean Peso
CNY	Yuan Renminbi
COP	Colombian Peso
CRC	Costa Rican Colón
CSK	Koruna of former Czechoslovakia. Now replaced by CZK (Czech Koruna) and

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	SKK (Slovak Koruna)
CUP	Cuban Peso
CVE	Escudo Caboverdiano
CYP	Cypriot Pound
CZK	Czech Koruna
DDM	Former East German Mark, DEM subsequently in use
DEM	Deutsche Mark (no longer in use)
DJF	Djibouti Franc
DKK	Danish Krone
DOP	Dominican Republic Peso
DZD	Algerian Dinar
ECS	Sucre (no longer in use)
EEK	Kroon
EGP	Egyptian Pound
ERN	Eritrean Nakfa
ESA	Spanish Peseta, Account A (no longer in use)
ESB	Spanish Peseta, Account B (no longer in use)
ESP	Spanish Peseta (no longer in use)
ETB	Ethiopian Birr
EUR	Euro
FIM	Markka (no longer in use)
FJD	Fiji Dollar
FKP	Falkland Pound
FRF	French Franc (no longer in use)
GBP	Pound Sterling (United Kingdom Pound)
GEL	Lari
GHC	Cedi
GIP	Gibraltar Pound
GMD	Dalasi
GNS	Syli (also known as Guinea Franc)
GQE	Ekwele
GRD	Greek Drachma (no longer in use)
GTQ	Quetzal
GWP	Guinea-Bissau Peso
GYD	Guyana Dollar
HKD	Hong Kong Dollar
HNL	Lempira
HRD	Croatian Dinar
HRK	Croatian Kuna
HTG	Gourde
HUF	Forint
IDR	Rupiah
IEP	Punt (no longer in use)
ILS	Shekel
INR	Indian Rupee
IQD	Iraqi Dinar
IRR	Iranian Rial
ISK	Icelandic Króna
ITL	Italian Lira (no longer in use)
JMD	Jamaican Dollar



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JOD	Jordanian Dinar
JPY	Yen
KES	Kenyan Shilling
KGS	Kyrgyzstani Som
KHR	Riel
KMF	Comorian Franc
KPW	Democratic People's Republic of Korean Won
KRW	Republic of Korean Won
KWD	Kuwaiti Dinar
KYD	Cayman Islands Dollar
KZT	Tenge
LAK	Kip
LBP	Lebanese Pound
LKR	Sri Lankan Rupee
LRD	Liberian Dollar
LSL	Loti
LSM	Maloti
LTL	Litas
LUF	Luxembourg Franc (no longer in use)
LVL	Lats
LYD	Libyan Dinar
MAD	Moroccan Dirham
MDL	Moldavian Leu
MGF	Malagasy Franc
MKD	Macedonian Dinar
MLF	Malian Franc
MMK	Kyat
MNT	Tugrik
MOP	Pataca
MRO	Ouguiya
MTL	Maltese Lira
MTP	Maltese Pound, replaced by Maltese Lira
MUR	Mauritius Rupee
MVR	Rufiyaa
MWK	Malawian Kwacha
MXN	Mexican New Peso (replacement for Mexican Peso)
MXP	Mexican Peso, replaced by Mexican New Peso
MYR	Ringgit (also known as Malaysian Dollar)
MZM	Metical
NAD	Namibian Dollar
NGN	Naira
NIC	Córdoba
NLG	Dutch Guilder (no longer in use)
NOK	Norwegian Krone
NPR	Nepalese Rupee
NZD	New Zealand Dollar
OMR	Omani Rial
PAB	Balboa
PEI	Inti
PEN	New Sol



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PES	Sol (replaced by New Sol [PEN])
PGK	Kina
PHP	Philippines Peso
PKR	Pakistani Rupee
PLN	New Zloty
PLZ	Zloty (replaced by New Zloty [PLN])
PTE	Portuguese Escudo (no longer in use)
PYG	Guarani
QAR	Qatari Riyal
ROL	Romanian Leu
RSD	Serbian Dinar
RUB	Russian Federation Rouble (formerly RUR)
RWF	Rwandan Franc
SAR	Saudi Riyal
SBD	Solomon Islands Dollar
SCR	Seychelles Rupee
SDD	Sudanese Dinar
SDP	Sudanese Pound
SEK	Swedish Krona
SGD	Singapore Dollar
SHP	St Helena Pound
SIT	Tolar
SKK	Slovak Koruna
SLL	Leone
SOS	Somali Shilling
SRG	Surinam Guilder
STD	Dobra
SUR	Union of Soviet Socialist Republics Rouble
SVC	El Salvadorian Colón
SYP	Syrian Pound
SZL	Lilangeni
THB	Baht
TJR	Tajik Rouble
TMM	Turkmenistani Manat
TND	Tunisian Dinar
TOP	Pa'anga
TPE	Timorian Escudo
TRL	Turkish Lira
TTD	Trinidad and Tobago Dollar
TWD	Taiwan Dollar
TZS	Tanzanian Shilling
UAH	Hryvna
UAK	Karbovanet
UGS	Ugandan Shilling
UKP	Incorrectly used for GBP
USD	United States Dollar
USN	United States Dollar (Next day)
USS	United States Dollar (Same day)
UYP	Uruguayan Peso, replaced by Uruguayan New Peso (UYU)
UYU	Uruguayan New Peso



UZS	Uzbekistani Som
VEB	Bolivar
VND	Viet Nam Đông
VUV	Vatu
WST	Tala
XAF	Franc de la Communauté financière africaine
XAU	Gold
XBA	European Composite Unit
XBB	European Monetary Unit
XBC	European Unit of Account 9
XBD	European Unit of Account 17
XCD	East Caribbean Dollar
XDR	International Monetary Fund Special Drawing Rights
XEU	ECU (not an official currency, replaced by the Euro)
XOF	West African Franc
XPF	Franc des Comptoirs français du Pacifique
YDD	South Yemeni Dinar
YER	Yemeni Riyal
YUD	Yugoslavian New Dinar (no longer in use)
ZAL	Rand (financial)
ZAR	Rand
ZMK	Zambian Kwacha
ZRZ	Replaced by CDZ
ZWD	Zimbabwe Dollar

Table 34: Currency Codes

5.14 Country Codes

This list states the country names (official short names in English) in alphabetical order as given in ISO 3166-1 and the corresponding ISO 3166-1-alpha-2 code elements.

Value	Description
AD	ANDORRA
AE	UNITED ARAB EMIRATES
AF	AFGHANISTAN
AG	ANTIGUA AND BARBUDA
AI	ANGUILLA
AL	ALBANIA
AM	ARMENIA
AN	NETHERLANDS ANTILLES
AO	ANGOLA
AQ	ANTARCTICA
AR	ARGENTINA
AS	AMERICAN SAMOA
AT	AUSTRIA
AU	AUSTRALIA
AW	ARUBA
AX	ÅLAND ISLANDS
AZ	AZERBAIJAN
BA	BOSNIA AND HERZEGOVINA
BB	BARBADOS
BD	BANGLADESH

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BE	BELGIUM
BF	BURKINA FASO
BG	BULGARIA
BH	BAHRAIN
BI	BURUNDI
BJ	BENIN
BM	BERMUDA
BN	BRUNEI DARUSSALAM
BO	BOLIVIA
BR	BRAZIL
BS	BAHAMAS
BT	BHUTAN
BU	BURMA
BV	BOUVET ISLAND
BW	BOTSWANA
BY	BELARUS
BZ	BELIZE
CA	CANADA
CC	COCOS (KEELING) ISLANDS
CD	CONGO, THE DEMOCRATIC REPUBLIC OF THE
CF	CENTRAL AFRICAN REPUBLIC
CG	CONGO
CH	SWITZERLAND
CI	COTE D'IVOIRE
CK	COOK ISLANDS
CL	CHILE
CM	CAMEROON
CN	CHINA
CO	COLOMBIA
CR	COSTA RICA
CS	SERBIA AND MONTENEGRO
CU	CUBA
CV	CAPE VERDE
CX	CHRISTMAS ISLAND
CY	CYPRUS
CZ	CZECH REPUBLIC
DE	GERMANY
DJ	DJIBOUTI
DK	DENMARK
DM	DOMINICA
DO	DOMINICAN REPUBLIC
DZ	ALGERIA
EC	ECUADOR
EE	ESTONIA
EG	EGYPT
EH	WESTERN SAHARA
ER	ERITREA
ES	SPAIN
ET	ETHIOPIA
FI	FINLAND



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FJ	FIJI
FK	FALKLAND ISLANDS (MALVINAS)
FM	MICRONESIA, FEDERATED STATES OF
FO	FAROE ISLANDS
FR	FRANCE
GA	GABON
GB	UNITED KINGDOM
GD	GRENADA
GE	GEORGIA
GF	FRENCH GUIANA
GG	GUERNSEY
GH	GHANA
GI	GIBRALTAR
GL	GREENLAND
GM	GAMBIA
GN	GUINEA
GP	GUADELOUPE
GQ	EQUATORIAL GUINEA
GR	GREECE
GS	SOUTH GEORGIA AND THE SOUTH SANDWICH ISLANDS
GT	GUATEMALA
GU	GUAM
GW	GUINEA-BISSAU
GY	GUYANA
HK	HONG KONG
HM	HEARD ISLAND AND MCDONALD ISLANDS
HN	HONDURAS
HR	CROATIA
HT	HAITI
HU	HUNGARY
ID	INDONESIA
IE	IRELAND
IL	ISRAEL
IM	ISLE OF MAN
IN	INDIA
IO	BRITISH INDIAN OCEAN TERRITORY
IQ	IRAQ
IR	IRAN, ISLAMIC REPUBLIC OF
IS	ICELAND
IT	ITALY
JE	JERSEY
JM	JAMAICA
JO	JORDAN
JP	JAPAN
KE	KENYA
KG	KYRGYZSTAN
KH	CAMBODIA
KI	KIRIBATI
KM	COMOROS
KN	SAINT KITTS AND NEVIS



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KP	KOREA, DEMOCRATIC PEOPLE'S REPUBLIC OF
KR	KOREA, REPUBLIC OF
KS	KOSOVO
KW	KUWAIT
KY	CAYMAN ISLANDS
KZ	KAZAKHSTAN
LA	LAO PEOPLE'S DEMOCRATIC REPUBLIC
LB	LEBANON
LC	SAINT LUCIA
LI	LIECHTENSTEIN
LK	SRI LANKA
LR	LIBERIA
LS	LESOTHO
LT	LITHUANIA
LU	LUXEMBOURG
LV	LATVIA
LY	LIBYAN ARAB JAMAHIRIYA
MA	MOROCCO
MC	MONACO
MD	MOLDOVA, REPUBLIC OF
ME	MONTENEGRO
MG	MADAGASCAR
MH	MARSHALL ISLANDS
MK	MACEDONIA
ML	MALI
MM	MYANMAR
MN	MONGOLIA
MO	MACAO
MP	NORTHERN MARIANA ISLANDS
MQ	MARTINIQUE
MR	MAURITANIA
MS	MONTSERRAT
MT	MALTA
MU	MAURITIUS
MV	MALDIVES
MW	MALAWI
MX	MEXICO
MY	MALAYSIA
MZ	MOZAMBIQUE
NA	NAMIBIA
NC	NEW CALEDONIA
NE	NIGER
NF	NORFOLK ISLAND
NG	NIGERIA
NI	NICARAGUA
NL	NETHERLANDS
NO	NORWAY
NP	NEPAL
NR	NAURU
NU	NIUE



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NZ	NEW ZEALAND
OM	OMAN
PA	PANAMA
PE	PERU
PF	FRENCH POLYNESIA
PG	PAPUA NEW GUINEA
PH	PHILIPPINES
PK	PAKISTAN
PL	POLAND
PM	SAINT PIERRE AND MIQUELON
PN	PITCAIRN
PR	PUERTO RICO
PS	PALESTINIAN TERRITORY, OCCUPIED
PT	PORTUGAL
PW	PALAU
PY	PARAGUAY
QA	QATAR
RE	REUNION
RO	ROMANIA
RS	SERBIA
RU	RUSSIAN FEDERATION
RW	RWANDA
SA	SAUDI ARABIA
SB	SOLOMON ISLANDS
SC	SEYCHELLES
SD	SUDAN
SE	SWEDEN
SG	SINGAPORE
SH	SAINT HELENA
SI	SLOVENIA
SJ	SVALBARD AND JAN MAYEN
SK	SLOVAKIA
SL	SIERRA LEONE
SM	SAN MARINO
SN	SENEGAL
SO	SOMALIA
SR	SURINAME
ST	SAO TOME AND PRINCIPE
SV	EL SALVADOR
SY	SYRIAN ARAB REPUBLIC
SZ	SWAZILAND
TC	TURKS AND CAICOS ISLANDS
TD	CHAD
TF	FRENCH SOUTHERN TERRITORIES
TG	TOGO
TH	THAILAND
TJ	TAJIKISTAN
TK	TOKELAU
TL	TIMOR-LESTE
TM	TURKMENISTAN



TN	TUNISIA
TO	TONGA
TP	EAST TIMOR
TR	TURKEY
TT	TRINIDAD AND TOBAGO
TV	TUVALU
TW	TAIWAN, PROVINCE OF CHINA
TZ	TANZANIA, UNITED REPUBLIC OF
UA	UKRAINE
UG	UGANDA
UM	UNITED STATES MINOR OUTLYING ISLANDS
US	UNITED STATES
UY	URUGUAY
UZ	UZBEKISTAN
VA	HOLY SEE (VATICAN CITY STATE)
VC	SAINT VINCENT AND THE GRENADINES
VE	VENEZUELA
VG	VIRGIN ISLANDS, BRITISH
VI	VIRGIN ISLANDS, U.S.
VN	VIET NAM
VU	VANUATU
WF	WALLIS AND FUTUNA
WS	SAMOA
YE	YEMEN
YT	MAYOTTE
YU	YUGOSLAVIA
ZA	SOUTH AFRICA
ZM	ZAMBIA
ZR	ZAIRE
ZW	ZIMBABWE

Table 35: Country Codes

5.15 Account_person_role_type

Value	Description
HOLDR	Holder
HOLSI	Holder & Signatory
SIGN	Signatory
OTHER	Other
-	UNKNOWN

Table 36: Account_person_role_type

5.16 Entity_person_role_type

Value	Description
DIR	Director
SH	Shareholder
SEC	Secretary
UBO	Ultimate Beneficial Owner
SIGN	Signatory

INT	Introducer
ASC	Associate
PAR	Partner
OTHER	Other
-	Unknown

Table 37: Entity_person_role_type

5.17 Report_Indicators

Value	Description
5	Additional information
34	Carousel fraud
14	Cash deposits
25	Complicate corporate structure
7	Exchange of currency followed by EFT
21	Financial services authorities warning list
19	Law Enforcement Investigation
33	Multiple senders unrelated to the receiver and vise versa
29	Multiple transactions within the same day
18	Negative publicity
32	No economic rational of activity
20	No sufficient documentation provided
4	OFAC List Match
13	Ordering customer requesting return of funds
-	Other
22	Provision of services without necessary licence
28	Smurfing
24	Submission of fake documentation
1	Terrorism Financing
6	Transactions don't meet client normal profile
8	Transactions don't meet client's declared nature of Business
9	Transactions don't meet expected turnover
10	Transactions in big notes
11	Transactions in small notes
31	Transactions involving high risk countries
23	Transactions involving PEPs
16	Transactions related to betting
12	Transactions related to Bitcoin or other electronic means
15	Transactions related to gambling
26	Transactions within the same group
3	UN 1967 Terrorist List Match
27	Unusual client behaviour
30	Use of letters of credit or other methods of trade finance
17	Use of strawman as BEN
2	World Check Match

Table 38: Report Indicators