

The new transaction reporting revises the xml file naming convention to the following structure:

**XX\_DATTRA\_CY\_000001-0-000000\_17.xml**

{special attention should be drawn where underscore and hyphen-minus characters are used}

Explained: Reporting

Entity'sCode\_FileType\_DestinationCountry\_SequenceNumber-Version-  
PreviousFileSequenceNumber\_Year.xml

- XX – Reporting Entity Code. This Codification is given by CySEC to the Reporting Entities. For CIFs' it is the two-letter codification, which is the same as the TRS System username. In the XML naming convention, it should be entered in Capital Letters.
- DATTRA – this is the TREM file. Under TREM there are only two applicable file types, DATTRA (as described here) and the FDBTRA which is the feedback file dispatched by the TRS to the Reporting Entities.
- CY – it is the destination Country, which is CySEC. This remains unchanged.
- 0000001 – the following should be adhered when completing this field:
  - a. this is a unique Sequence Number of 6 digits and it is incremented each time an authority sends a file.
  - b. the sequence should start at 000001. The sequence number can start again at 000001 after 999999.
  - c. This number identifies uniquely a single file. Should a problem occur in the sending of a file, the sequence number will help out in identifying a unique file.
  - d. The sequence restarts each year.
- 0 – this is the Version field where the following rules apply:
  - a. It is used to specify the version of the file for a given sequence number. When the file is been submitted for the first time, then the Version number to be used should be 0, maximum version is 9. After that, CySEC will try to resolve the issue outside the system.
  - b. If a file is rejected it shall be resubmitted by the CIF with an increased version number (previous version number + 1).
  - c. The Version field shall not be used to correct specific transactions within a file. If the file is processed by CySEC but only some transactions are rejected, the CIF shall include the corrected transactions in a new file (with a new Sequence Number).
  - d. In case a new version is received for a file that has been successfully processed, the new version will be rejected.
  - e. In cases where more than one version of the same file is received, CySEC will process the file with the lowest version number first. The file(s) with the higher version number will be processed only in case the file with the lower version number is rejected.

- 000000 – This is the Previous File Sequence Number with the following characteristics:

The purpose of the Previous File Sequence Number is to ensure the correct order of each DATTRA file processing.

When a CIF sends the first DATTRA file to another authority in a new year, as there is no previous file sequence number for this year, the SequenceNumber of the last file sent in the previous year should be used.