

TO : Cyprus Investments Firms ('CIFs')

FROM : Cyprus Securities and Exchange Commission

DATE : March 17, 2020

CIRCULAR No: C363

FILE No : E.K. 02.03.001, E.K. 01.03.001 and E.K. 01.13.001.002.002

SUBJECT : Risk Based Supervision Framework – Electronic submission of information

for the year 2019 (Form RBSF-CIF) - Change of Deadline

The present Circular is issued pursuant to section 25(1)(c)(ii) & (iii) of the Cyprus Securities and Exchange Commission Law of 2009, as amended ('the CySEC Law').

The Cyprus Securities and Exchange Commission ('the CySEC'), following Circular C353 issued on February 27, 2020 and following the developments related to the coronavirus disease 2019 (COVID-19), wishes to inform CIFs, that the deadline of the submission of the Form RBSF-CIF, is extended by two months later. In this respect:

1. Point 1.3. of the Circular C353 is changed as follows:

The Form must be successfully submitted electronically via the CySEC's Transaction Reporting System ('TRS') by 15:00 hrs, Monday, June 1, 2020, at the latest.

2. Point 1.7. of the Circular C353 is changed as follows:

CySEC emphasises the importance of meeting the deadline of <u>15:00 hrs Monday</u>, <u>June 1</u>, <u>2020</u> and stresses that <u>NO further extension will be granted</u>.

3. Point 5.1. of the Circular C353 is changed as follows:

Should you have any queries on the completion of Form RBSF-CIF, please submit them <u>only</u> in writing, any day <u>PRIOR to Friday, May 22, 2020</u>, by sending an email to the address <u>riskstatistics.cifs@cysec.gov.cy</u>

CySEC wishes to inform you that, apart from the points mentioned above, all the other points and content of Circular C353 are still applicable.

Failure to promptly and duly comply with the above will bear the administrative penalties of section 37(5) of the CySEC Law.

Yours sincerely,

Demetra Kalogerou

Chairman, Cyprus Securities and Exchange Commission