
TO : **Cypriot Investment Firms**
FROM : **Cyprus Securities and Exchange Commission**
DATE : **06 May 2011**
CIRCULAR No : **CI144-2011-09**
FILE No : **E.K. 6.1.14**
ΘΕΜΑ : **Submission of Form 144-05-06.1 with audited financial results**

The Cyprus Securities and Exchange Commission ('the Commission'), following the Circulars CI144-2011-04, CI144-2011-07 and CI144-2011-08, informs the Cypriot Investment Firms (CIFs) of the following:

1. The paragraph 39(2) of the Directive DI144-2007-05 of the Commission regarding CIF's capital adequacy of 2010 ('the Directive') states that '*...Investment Firms should submit to the Commission, within five months from the end of financial year, the Commission's Forms 144-05-06 and 144-06-08, which should be based on the results of their audited financial statements.*'
2. The electronic submission to the TRS System of the revised, according to the above paragraph, Form 144-05-06.1, which will include the audited financial statements of the previous financial year (31 December), will be done with **different codification** as below:

a) Excel File Name

XX_yyyymmdd_Form061A.xlsx

The different codification is pinpointed in the character 'A' which is inserted after the '1' digit. The Commission reminds that any spaces within the file name will not be accepted by the TRS System.

Where XX, is the double digit codification (in Capital) which is given for each CIF. The yyyymmdd denotes the period. For example, the audited financial statements of 2010, should have the following file name:

XX_20101231_Form061A.xlsx

b) 'File Name' field within the File

As with point (b) above, the different codification will be inserted (without extension xls or xlsx):

XX_yyyymmdd_Form061A

Any spaces within this field will not be accepted by the TRS System.

3. It is noted that the different codification is in effect **only** for the revised Form 144-05-06.1, which includes **the audited financial results** and not for any other period, in which the CIF is obliged, according to the Law, to submit electronically the said Form.
4. The commencement date for the submission of the above revised Form 144-05-06.01 is set for today.
5. The revised Form 144-06-08 will not be submitted through the TRS System, but via the existing procedure, which is to be submitted to the electronic address crdsubmission@cysec.gov.cy and delivered in hard copy at the Commission's offices.
6. Regarding the information that accompanies the above revised forms (reference is made to Circular CI144-2008-16, dated 10 September 2008), the procedure which is applied so far is in effect, which is the submission to the electronic address crdsubmission@cysec.gov.cy and delivered in hard copy at the Commission's offices.
7. For further clarifications on technical matters regarding TRS System, please use the electronic address crd@cysec.gov.cy.

Sincerely

Georgios Charalambous
Chairman, Cyprus Securities and Exchange Commission