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TO : Administrative Services Providers (ASPs)

FROM : Cyprus Securities and Exchange Commission

DATE : 22 August 2014

CIRCULAR No. : CI196-2014-19

FILE No. : 02.03.001 & 01.11.001.002

SUBJECT : Receipt and activation of the Digital Signature for submission of files to

**Cyprus Securities and Exchange Commission** 

The Cyprus Securities and Exchange Commission ('the Commission') in its effort to improve its procedures with the purpose of secure transmission of the electronic data, which will result in improved time and resources, has implemented a certified digital signature according to the Law regulated legal framework of electronic signatures and related matters Law of 2004 [N.34(I)/2009] and [N.86(I)/2012].

As a result, the Administrative Service Providers should obtain <u>immediately</u> the digital signature according to the following instructions:

#### 1. Receipt and activation of the usb token

The process of receipt and activation of the digital signature with the purpose of been used in the immediate future for submission of files to the Commission, includes the following steps:

- (a) the receipt of the usb token, during the period <u>25 August 2014 until 05 September 2014</u>, from the Commission's offices which are located at Diagorou 27, 2<sup>nd</sup> Floor, Nicosia. The receipt can <u>only</u> be made after liaising with Mr. Panicos Christoforou or Ms Andri Petrou at telephone 22 506 600.
- (b) the activation of the usb token according to the instructions described in Appendix A.

## 2. General Information

The Commission has prepared a dedicated page in its website (<a href="http://www.cysec.gov.cy/digitalsignature\_en.aspx">http://www.cysec.gov.cy/digitalsignature\_en.aspx</a>) which includes all the information/forms regarding the digital signature and therefore the ASPs are urged to visit it frequently so as to be informed of the latest changes/additions.

In addition, the ASPs can communicate for technical support with the Information Technology and Operations Department of the Commission at the email, information.technology@cysec.gov.cy.

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Sincerely,

Demetra Kalogerou

Chairman, Cyprus Securities and Exchange Commission

## APPENDIX A OF CIRCULAR CI144-2013-25

### Instructions for Acquiring a Digital Signature

## **Applying for a Digital Signature**

Any person may obtain a qualified digital certificate and secure signature creation device (SSCD) with his presence at the Cyprus Securities and Exchange Commission offices where he will need:

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- A. to present his Identity card (ID), which will be photocopied.
- B. to complete and sign the "Application Form for qualified certificate in Secure Signature Creation Device (DL2)" (Appendix A.1).
- C. to submit the "Authorization Form for use of the Electronic Signature" (Appendix A.2) filled and stamped by the Company.

In the presence of authorized representatives on behalf of the physical person at the offices of the Cyprus Securities and Exchange Commission, the authorized representative should provide:

- A. the "Application Form for qualified certificate in Secure Signature Creation Device (DL2)" (Appendix A.1), signed by the applicant and certified by Certifying Officer.
- B. a certified copy of the identity card of the applicant.
- C. to submit the "Authorization Form for use of the Electronic Signature" (Appendix A.2) filled and stamped by the Company.
- D. a written authorization signed by the applicant and certified by a Certifying Officer, for the submission of the above documents and the receipt of SSCD by the authorized person (Appendix A.3).

#### Cost of acquisition / Payment

Buying a Secure Signature Creation Device (SSCD) costs €90. The issuance of a qualified digital certificate valid for one year, it costs €120.

Payments should be made to our offices or by deposit to Cyprus Securities & Exchange Commission bank account no IBAN CY57 0020 0118 0000 0005 0088 5900 at Bank of Cyprus. In cases of transfer or deposit to the bank account you are kindly requested to bring the relevant document with you.

#### Issuing a Digital Signature

The procedure for issuing a digital signature requires (a) the installation of the necessary software, (b) submitting an electronic application and (c) receipt of the certificate via the internet. When submitting the online application and picking up the id, users must use only Internet Explorer.

To issue your digital signature please follow the steps below:

#### A. Middleware Installation

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All necessary software for managing your SSCD (USB Token) and changing the to PIN is available on the CySEC web page(http://www.cysec.gov.cy/digitalsignature\_en.aspx).

- 1. Select from the list entitled Middleware the version that corresponds to your Operating System (32 or 64 bit) and download the Middleware.
- 2. Double click the downloaded file in order to start the installation of the Middleware.
- 3. Answer Yes/ OK/ Next to all questions and install the software.
- 4. After installation you will have to reboot your PC.

#### **B. Enrollment**

- 1. Conenct your SSCD (usb token) on your PC.
- 2. Using <a href="Internet Explorer">Internet Explorer</a>, visit the url <a href="https://pki.adacom.com/xak/gr">https://pki.adacom.com/xak/gr</a> (greek) or <a href="https://pki.adacom.com/xak/gr">https://pki.adacom.com/xak/gr</a> (english).
- In order to successfully complete the registration procedure you will have to add the url <a href="https://pki.adacom.com">https://pki.adacom.com</a> to the Trusted Sites list of Internet Explorer. Click on the "Tools" menu and select "Internet Options" (If the menu bar is not visible press Alt).
- 4. Click the "Security" tab.
- From "Select a zone to view or change security settings" select "Trusted Sites"
- 6. Click on the "Sites" button.



- 7. In the "Trusted Sites" dialog, type in the "Add this website to the zone" field the url <a href="https://pki.adacom.com">https://pki.adacom.com</a> and press the "Add" button.
- 8. Press "Close" to close the "Trusted Sites" dialog.
- 9. Make sure you are on the "Security" tab and "Trusted Sites" are selected. Click on the "Custom Level..." button.
- Trusted sites

  You can add and remove websites from this zone. All velosites in this zone will use the zone's security sectings.

  Add this website to the zone:

  https://pix.adacom.com

  Add

  Websites:

  Remove

  | Placeure server verification (https:) for all sites in this zone

ge

- In the window "Security Settings Trusted Site Zone" change the settings under the ActiveX section to match the settings of the image on the right.
- 11. Press "OK" to save the changes.
- 12. Press "OK" to close the "Internet Options" window and return to the Digital ID Center website.

ActiveX controls and plug-ins
Allow ActiveX Filtering
Disable
Enable
Allow previously unused ActiveX controls to run without pror
○ Disable
Enable
Allow Scriptlets
O Disable
Enable
O Prompt
Automatic prompting for ActiveX controls
○ Disable
Enable
Binary and script behaviors
Administrator approved
○ Disable
Enable
Display video and animation on a webpage that does not use
O Disable
Enable
Download signed ActiveX controls
O Disable
Enable
Prompt
Download unsigned ActiveX controls
O Disable
○ Enable
Prompt
Initialize and script ActiveX controls not marked as safe for s
O Disable
○ Enable
Prompt
Only allow approved domains to use ActiveX without prompt
○ Disable
Enable
Run ActiveX controls and plug-ins
<ul> <li>Administrator approved</li> </ul>
Oisable
Enable
Prompt
Script ActiveX controls marked safe for scripting*
○ Disable
○ Enable

13. Select Enroll from the menu.

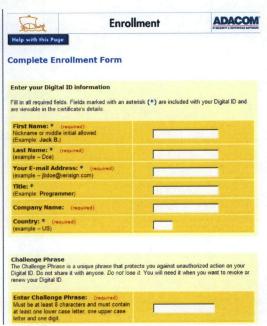


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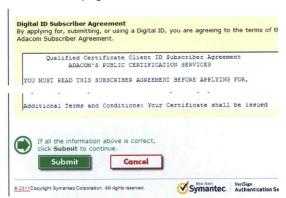
14. On the next page click on ACCEPT at the bottom of the screen.



- 15. In case you get any ActiveX warnings please allow them to run.
- 16. On the page "Complete Enrollment Form" fill the fields "First Name" and "Last Name" as they appear on your national id or passport (whichever you used when you submitted your Application form).
- 17. Fill the field "Your e-mail address" using the e-mail address that you submitted with your application form.
- 18. Fill the fields "Title", "Company Name" and "Country".
- 19. In the "Enter Challenge Phrase" field enter a password. The password will be used in case of revocation or renewal of your certificate.



20. Click "Submit" on the bottom of the page.



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- 21. A message will be shown asking to confirm your email address. After checking your email address press "OK" to continue.
- 22. A dialog informing you about the digital certificate operation will be shown. Select "Yes" to continue.
- 23. In the dialog asking for your PIN type your PIN (the default PIN is 9999). Press "Ok" to continue.
- Wait until the completion of the process.



25. After the process is completed a message is shown informing you about the successful completion.



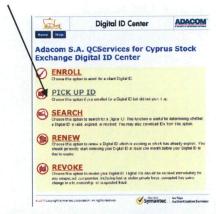
26. You will receive an email informing you about your enrollment.

#### C. Pick up ID

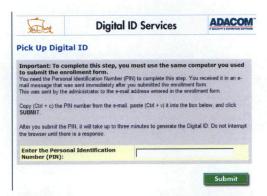
- 1. Connect your SSCD (USB Token) on your PC.
- 2. You will receive an email informing you that your digital id is ready.
- 3. The email will contain a PIN that you will use during the Pick Up Process..
- Use <u>Internet Explorer</u> and visit <u>https://pki.adacom.com/xak/gr</u> (Greek) or <u>https://pki.adacom.com/xak/en</u> (English).

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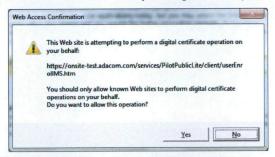
5. Select "PICK UP ID"



6. On the next page type the PIN contained in the email you received and click on "Submit".



 Make sure that your SSCD (USB Token) is connected to your PC. On the dialog asking you to allow the operation click "Yes" in order to store your Digital ID on your token.



8. Congratulations, your digital certificate is stored on your security device.

#### APPENDIX A.1 OF CIRCULAR CI144-2013-25

# APPLICATION FORM FOR QUALIFIED CERTIFICATE



# APPLICATION FORM FOR QUALIFIED CERTIFICATE IN SECURE SIGNATURE CREATION DEVICE (DL2)

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Fill in all the fields only with Latin characters (1) Fields are filled in by ADACOM S.A.

Date:	(1) Application Number:	
APPLICANTS DATA		
First Name:		
Last Name:		
E-mail address:		
Title:		
Locality:		<u>Establish</u>
Country:		
National ID or Passport Number:		Section 1
DEVICE DELIVERY		
From the central offices of Cyprus Securities & Exchange Con		
From the central offices of Cyprus Securities & Exchange Conauthenticity)	mmission (by submitting an authorization with certified signature	
Send by Courier at the following address:		
(Delivery upon presenting the National ID Card)	ar an ar an	

This application form for Qualified Certificates is submitted, along with the submission of the attested copy of the Identification Card or Passport (attested before a public notary - required for the authentication procedure). It is required by the applicant the following:

- 1. Submission of electronic application, within 10 days:
  - https://pki.adacom.com/xak/gr (for Greek)
  - https://pki.adacom.com/xak/en (for English)
- 2. Acceptance of the Subscriber's Agreement, located at the above electronic address, and the following terms of use:
  - You declare that you are legally eligible to submit this Application Form for Qualified Certificate.
  - Data included in this Application for Qualified Certificate are true and valid and may be asked during the submission of the electronic application for the Digital Certificate.
  - Digital Certificates are used only for approved and legal scopes, according to the ADACOM Certification Practice Statement (CPS) (located at https://www.adacom.com/repository/CPS).
  - According to the relative legislation, the USB Token (Oberthur ID One Token) that will be given to you by the Cyprus Stock Exchange (LRA) is a Secure Signature Creation Device (henceforth "SSCD"), it is strictly personal, as well as the Digital Certificates that will be issued and stored in this SSCD by you, and you are solely responsible for their usage. You have to treat the SSCD as any object containing private data (for example a credit card).
  - Under no circumstances are you supposed to leave the SSCD exposed, not even in your own office. Additionally, after its
    use you must place it in a secure location.
  - You are recommended not to lend your SSCD or disclose its Access ID PIN to anyone.
  - No unauthorized person should ever have access to your signature private keys. Upon facing a problem or upon having suspicions that your private key has been compromised or your SSCD has been misplaced, stolen or destroyed, contact the Cyprus Stock Exchange (LRA) Customer Support at once (+ 357 22 712300) or ADACOM Customer Support (+30 210 95 77 255)
  - You are bound to use exclusively the above mentioned SSCD which will be provided to you by ADACOM S.A. through the Cyprus Stock Exchange (LRA). Otherwise, ADACOM S.A. disclaims any responsibility and warranty regarding the appropriateness and compliance of the SSCD with the requirements of the applicable law.

# Customer Signature

# (1) Validation Representative

Name:	Name:	
Surname:	Surname:	
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Signature:	Signature:	
(2) Approval Representative		
Name:		
Surname:		
Signature:		

# APPENDIX A.2 OF CIRCULAR CI144-2013-25

# AUTHORIZATION FORM FOR USE OF THE ELECTRONIC SIGNATURE

		('Company') authorizes	s the following
or the receipt of secure si	onature creation devices to be	used for the creation of electronic s	signatures. These
ls will bind the Compan	v with their electronic signat	ure with regard to any information	submitted to the
ecurities and Exchange C	Commission by the Company.		
Name/Surname	Identification	<b>Email Address</b>	* G [ 35, 2
	Number		
apany by a written notice for use of electronic signa	e to the Cyprus Securities an tures or withdraw the authorize	d Exchange Commission, may autization of the above mentioned person	horize additiona
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#### APPENDIX A.3 OF CIRCULAR CI144-2013-25

# **AUTHORIZATION FORM**

To: The Cyprus Securities and Exchange Commission

I the undersigned ....... who has applied for the issuance of Qualified Certificate to Secure Signature my behalf the SSCD to which my electronic signature will be created and stored. I certify that I understand that the SSCD is strictly personal and I bear full responsibility deriving from the proper and lawful use of my electronic signature which will be produced and stored in specific SSCD, which according to the law is legally equivalent to a handwritten signature. ..... Authorizer of Signature Date.....

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