
TO : Regulated Entities

- i. Cyprus Investment Firms ('CIFs')**
- ii. UCITS Management Companies ('UCITs MC')**
- ii. Alternative Investment Fund Managers ('AIFMs')**
- iv. Self-Managed Alternative Investment Funds¹ ('SM AIFs')**
- v. Administrative Service Providers ('ASPs')**

FROM : Cyprus Securities and Exchange Commission

DATE : January 27, 2016

CIRCULAR No : C109

FILE No : EK.02.03.001, EK.01.03.004, EK 01.13.002

SUBJECT : Risk Based Supervision Framework ('RBS-F') – Electronic submission of information for the year 2015

The Cyprus Securities and Exchange Commission ('the CySEC'), wishes to inform the Regulated Entities about the following:

1. Following Circulars CI144-2014-31 and C037, regarding the electronic submission of information concerning the implementation of the RBS-F for the year 2014, CySEC will issue new Circulars, in the near future, informing the Regulated Entities about the exact dates and timeframes, regarding the electronic submission of information for the year **2015**. The new Circulars, will also include the updated forms that will have to be completed.
2. The Regulated Entities that were authorised and operated², by **December 31, 2015, inclusive**, will have to complete and submit to CySEC, the updated forms that will relate to their case, through its Transaction Reporting System ('TRS'), for the reporting period **1/1/2015 - 31/12/2015**.

¹ It refers to the 'Alternative Investment Funds in the Republic' and to the 'Alternative Investment Funds with limited number of investors', as these are define in article 2 of the Alternative Investment Funds Law of 2014 [Law 131(1)/2014] that are self-managed.

² Regulated Entities that were authorised by December 31, 2015, but were not made use of their authorisation will not be obliged to complete and submit the relevant forms.

3. For the submission of the information through the TRS, Regulated Entities must have a digital certificate to sign their Forms. The method of acquiring an acceptable certificate has been described in Circular [CI196-2014-19](#).

If you have any queries relating to this Circular, please submit them in writing by sending an email to the address risk.statistics@cysec.gov.cy.

Yours sincerely

Andreas Andreou
Vice-Chairman, Cyprus Securities and Exchange Commission