

**Updates to the legal Framework of Collective Investment Schemes
– Analysis of Depositary duties – Authorization requirements**

Time		Minutes	Subject
From	To		
8:45	9:00	15	REGISTRATION
9:00	10:40	100	<ul style="list-style-type: none"> ➤ Overview of the Legal Framework on Collective Investment Schemes ➤ Analysis of the Alternative Investment Funds Law of 2014 ➤ Upcoming Changes
10:40	11:00	20	BREAK
11:00	12:40	100	<ul style="list-style-type: none"> ➤ Changes introduced by the adoption of UCITS V Directive ➤ The depositary regime under the AIFM Law and AIFMD
12:40	13:20	40	BREAK
13:20	15:00	100	<ul style="list-style-type: none"> ➤ Authorisation requirements for Collective Investment Schemes and their managers ➤ Q&As

**Total Net
Time
(5 hours)**

300

Analysis of the Transparency Requirements Law as amended in 2016			
Time		Minutes	Subject
From	To		
8:45	9:00	15	REGISTRATION
9:00	10:40	100	<ul style="list-style-type: none"> ➤ Scope, definitions and introductory provisions ➤ Periodic information by issuer
10:40	11:00	20	BREAK
11:00	12:40	100	<ul style="list-style-type: none"> ➤ Ongoing information by issuer ➤ Communication framework
12:40	13:20	40	BREAK
13:20	15:00	100	<ul style="list-style-type: none"> ➤ Obligations on acquisition or disposal of voting rights ➤ False or misleading data and information, supervision and sanctions ➤ Q&As
Total Net Time (5 hours)		300	

Analysis of the new European Market Abuse Regulation, Directive, ESMA Guidance and Whistle Blowing Process

Time		Minutes	Subject
From	To		
8:45	9:00	15	REGISTRATION
9:00	10:40	100	<ul style="list-style-type: none"> ➤ Chapter 1 – General Provisions ➤ Chapter 2 - Inside Information, Insider Dealing, Unlawful Disclosure Of Inside Information
10:40	11:00	20	BREAK
11:00	12:40	100	<ul style="list-style-type: none"> ➤ Chapter 2 Market Manipulation ➤ Chapter 3 Disclosure Requirements ➤ Chapter 4 Esma And Competent Authorities
12:40	13:20	40	BREAK
13:20	15:00	100	<ul style="list-style-type: none"> ➤ Chapter 5 Administrative Measures And Sanctions ➤ ESMA Guidance ➤ The Whistle Blowing Process ➤ Q&As

Total Net Time (5 hours)

300

The new Anti-Money Laundering Regime			
Time		Minutes	Subject
From	To		
8:45	9:00	15	Registration
9:00	10:40	100	<ul style="list-style-type: none"> ➤ General Anti-Money Laundering provisions ➤ Directive (EU) 2015/849 (4th AML Directive)
10:40	11:00	20	Break
11:00	12:40	100	<ul style="list-style-type: none"> ➤ Key Changes (Section 1): <ul style="list-style-type: none"> • Obligated entities • Beneficial ownership • Senior Management • Politically Exposed Persons (PEPs) • Risk-based approach and Customer due diligence measures: <ul style="list-style-type: none"> - Simplified customer due diligence measures - Enhanced customer due diligence measures • Central Registers
12:40	13:20	40	Break
13:20	15:00	100	<ul style="list-style-type: none"> ➤ Key Changes (Section 2): <ul style="list-style-type: none"> • High risk third-countries • Reliance on third parties • Recordkeeping • Whistleblowing • Sanctions • Financial Intelligence Units (FIUs) ➤ Further upcoming changes ➤ Q&As
Total Net Time (5 hours)		300	

Analysis of the Recovery and Resolution Laws			
Time		Minutes	Subject
From	To		
8:45	9:00	15	Registration
9:00	10:40	100	<ul style="list-style-type: none"> ➤ Chapter 1 – General Provisions ➤ Chapter 2 – Recovery plans and their assessment ➤ Chapter 3 – Resolution Plans
10:40	11:00	20	Break
11:00	12:40	100	<ul style="list-style-type: none"> ➤ Chapter 4- Intra group financial support ➤ Chapter 5- Early intervention measures
12:40	13:20	40	Break
13:20	15:00	100	<ul style="list-style-type: none"> ➤ Chapter 6 – Resolution Objectives, conditions and general principles ➤ Chapter 7 - Resolution tools ➤ Chapter 8 -Q&As
Total Net Time (5 hours)		300	