

TO : Persons registered in the public register¹

FROM : Cyprus Securities and Exchange Commission

DATE : 10 September 2015

CIRCULAR No : C087

SUBJECT : Seminars organized by the Cyprus Securities and Exchange Commission

regarding the Continuous Professional Development of the persons

registered in the public register

The Cyprus Securities and Exchange Commission (the «Commission») wishes, with the present circular, to inform the persons registered in the public register («certified persons») of the following:

1. During the period September - December 2015, ten (10) one-day seminars of five (5) hours net duration, will be held, as follows:

Dates and Location	Subject		
21 November (Nicosia) 5 December (Limassol)	The new legal Framework of Collective Investment Schemes and Analysis of Depositary duties under the UCITS and AIFM		
10 October (Limassol) 14 November (Nicosia)	Analysis of UCITS Management companies and comparison with AIFMs		
17 October (Nicosia) 31 October (Limassol)	Analysis of the Insider Dealing and Market Manipulation (Market Abuse) Law		
26 September (Limassol) 7 November (Nicosia)	Analysis of AIFMs obligations		
28 November (Limassol) 12 December (Nicosia)	Analysis of current Transparency Regulations and the new Transparency Directive Requirements		

Seminars in Nicosia will take place at the premises of Cyprus International Institute of Management (CIIM), 21 Akademias Avenue, 2107 Aglandjia, Nicosia. Click here for directions.

Seminars in Limassol will take place at the premises of Cyprus International Institute of Management (CIIM), 5 Chaidariou, Street 3020 Limassol. Click here for directions.

^{1. «}public register» means the register as defined in article 53 of the Investment Services and Activities and Regulated Markets Law.



- 2. <u>Click here</u> for the analytical programs of the seminars which have been posted on the Commission's website under the heading "Public Information -> Seminars and Training -> Regulated Entitled Education -> Seminars of Continuous Professional Development.".
- 3. The participation fee is €120 per participant, per seminar.

The registration forms must be submitted **no later than the Tuesday**, week before each seminar.

For registrations after Tuesday, a late registration fee of 30% (€36) will be charged. For registrations before 14:00 the Friday a week before the seminar (8 days ahead), an Early Registration Discount of €20 will be applied.

- 4. The seminars are addressed to the persons registered in the public register («certified persons») for purposes of compliance with paragraph 17(2)(a) of the Directive regarding the certification of persons and the public register (R.A.D. 174/2015).
- 5. Priority will be given to the certified persons but in case there is availability of places participation requests from other interested persons will be accepted.
- 6. The seminars will be held in the English language.
- 7. In order to participate in the seminars, please complete and submit the attached registration form (Annex A) to CPDseminars@cysec.gov.cy.

Due to the restricted number of places (thirty (30) participants per seminar), the applications for participation in the seminars will be served on a first-come, first-served basis.

- 8. All participants will be informed about the date of the seminar they will attend and the details of the payment of the participation fee, via electronic mail.
- 9. The participation fee must be paid in advance **no later than the Thursday** before each seminar.
- 10. For any questions or additional information regarding the above, please send us an email to CPDseminars@cysec.gov.cy.

Sincerely,

Demetra Kalogerou Chairman Cyprus Securities and Exchange Commission



ANNEX A

Registration Form for the Continuous Professional Development Seminars

Name of certified person / participant:
Certification Number (CN) (see Note below):
Email:
Phone number:
Name that the invoice will be issued on:

SEMINAR	Date	Location	Seminar interested in
The new legal Framework of Collective Investment Schemes and Analysis of	21 November	Nicosia	
Depositary duties under the UCITS and AIFM	5 December	Limassol	
Analysis of UCITS Management	10 October	Limassol	
companies and comparison with AIFMs	14 November	Nicosia	
Analysis of the Transparency	28 November	Limassol	
Requirements Law	12 December	Nicosia	
Analysis of AIFMs obligations	26 September	Limassol	
	7 November	Nicosia	
Analysis of the Insider Dealing and	17 October	Nicosia	
Market Manipulation (Market Abuse) Law	31 October	Limassol	

Note:

The certified persons must declare their Certification Number (CN) on the registration form. The <u>«Certification Number (CN)»</u> is the unique number given to each certified person in the revised public register, which is available on the Commission's website at the following link: <u>Public Register 2014</u>

Should you face any difficulties identifying your CN number, please send an email to CPDseminars@cysec.gov.cy